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Cheiron School 2008

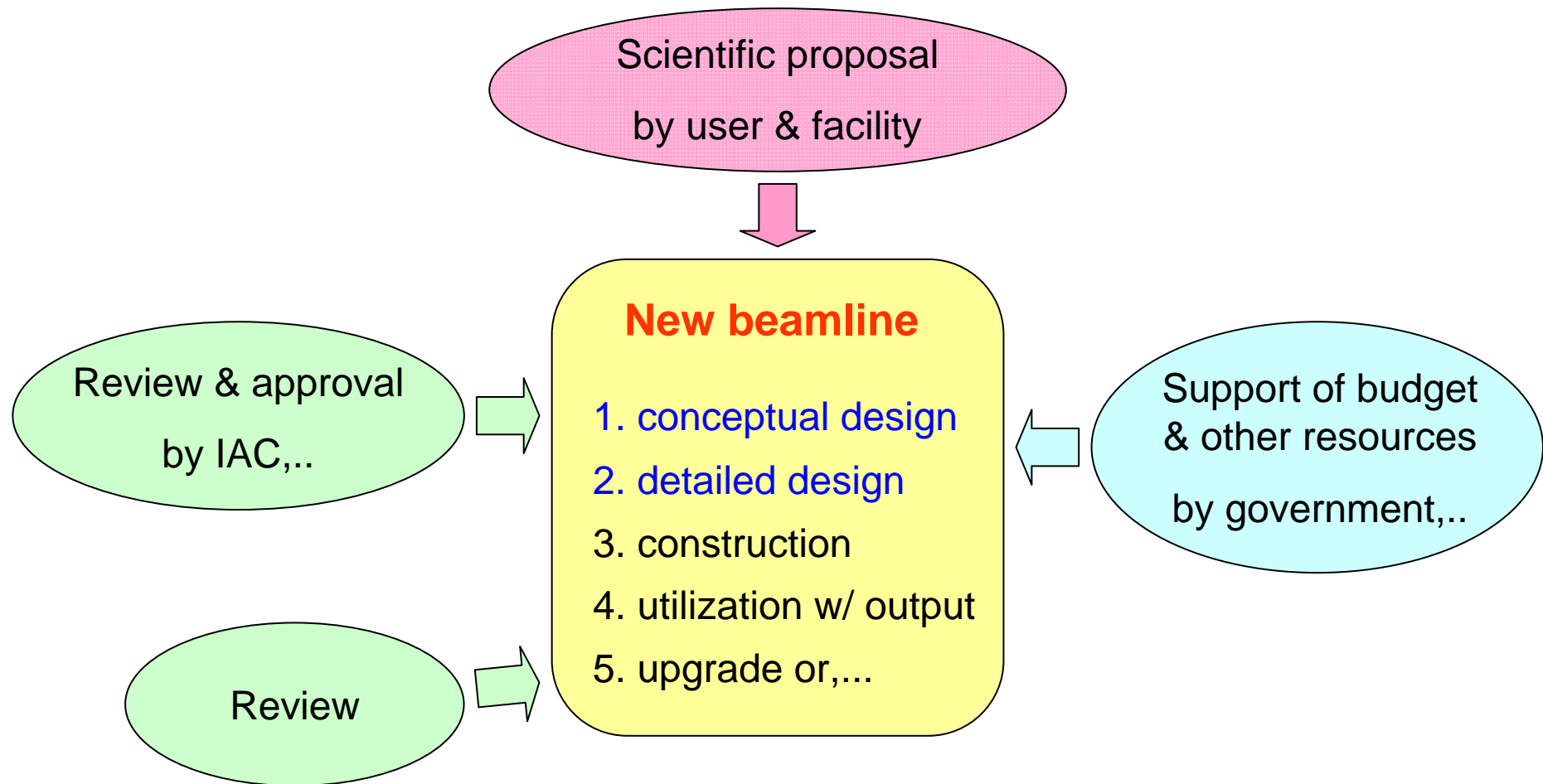
X-ray Beamline Design

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SPring-8/JASRI

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Process of beamline construction

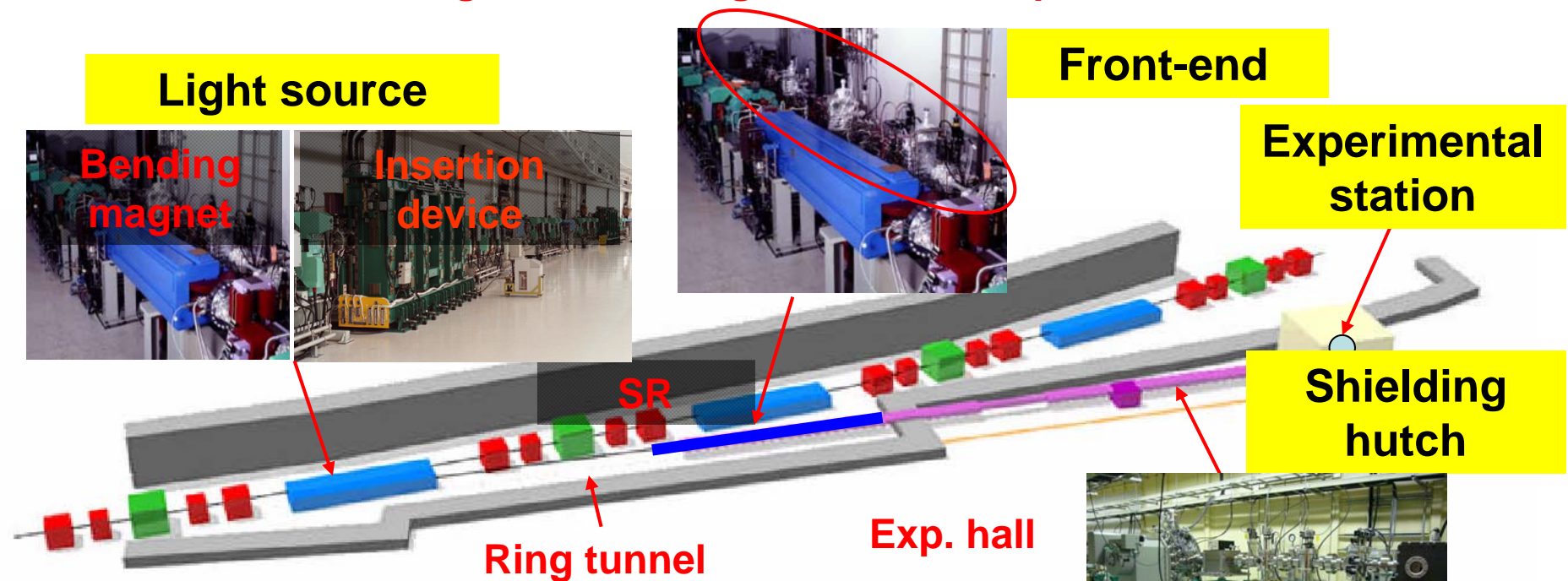


Beamline design is first step and crucial for success of the beamline !

→ Fundamental of X-ray beamline; light source, monochromator, mirror,...

Beamline structure

Beamline = “Bridge” between light source & experimental station



→ Transport and processing of photons

photon energy, energy resolution,
beam size, beam divergence, polarization,...

→ Vacuum

protection of ring vacuum and beamline vacuum

→ Radiation safety

Shielding and interlock

Optics & transport

Monochromator, mirror
shutter, slit
pump,...

Light sources & X-ray optics

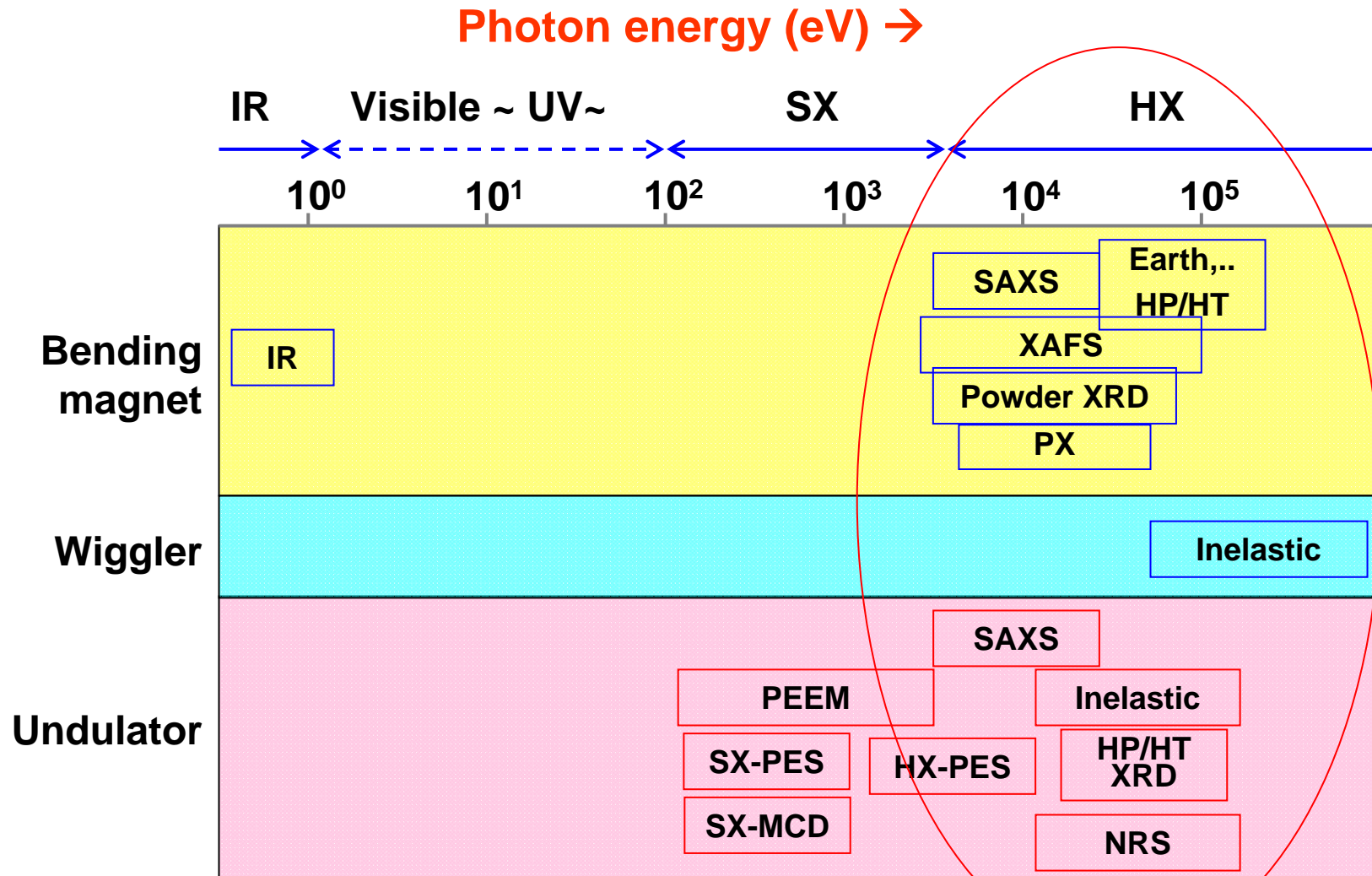
Check points to be considered for your application:

- White or monochromatic
- Energy range
- Energy resolution
- Flux & flux density
- Beam size at sample (micro beam?,...)
- Beam divergence/convergence at sample
- Higher order elimination w/ mirror
- Polarization conversion
- Spatial coherency

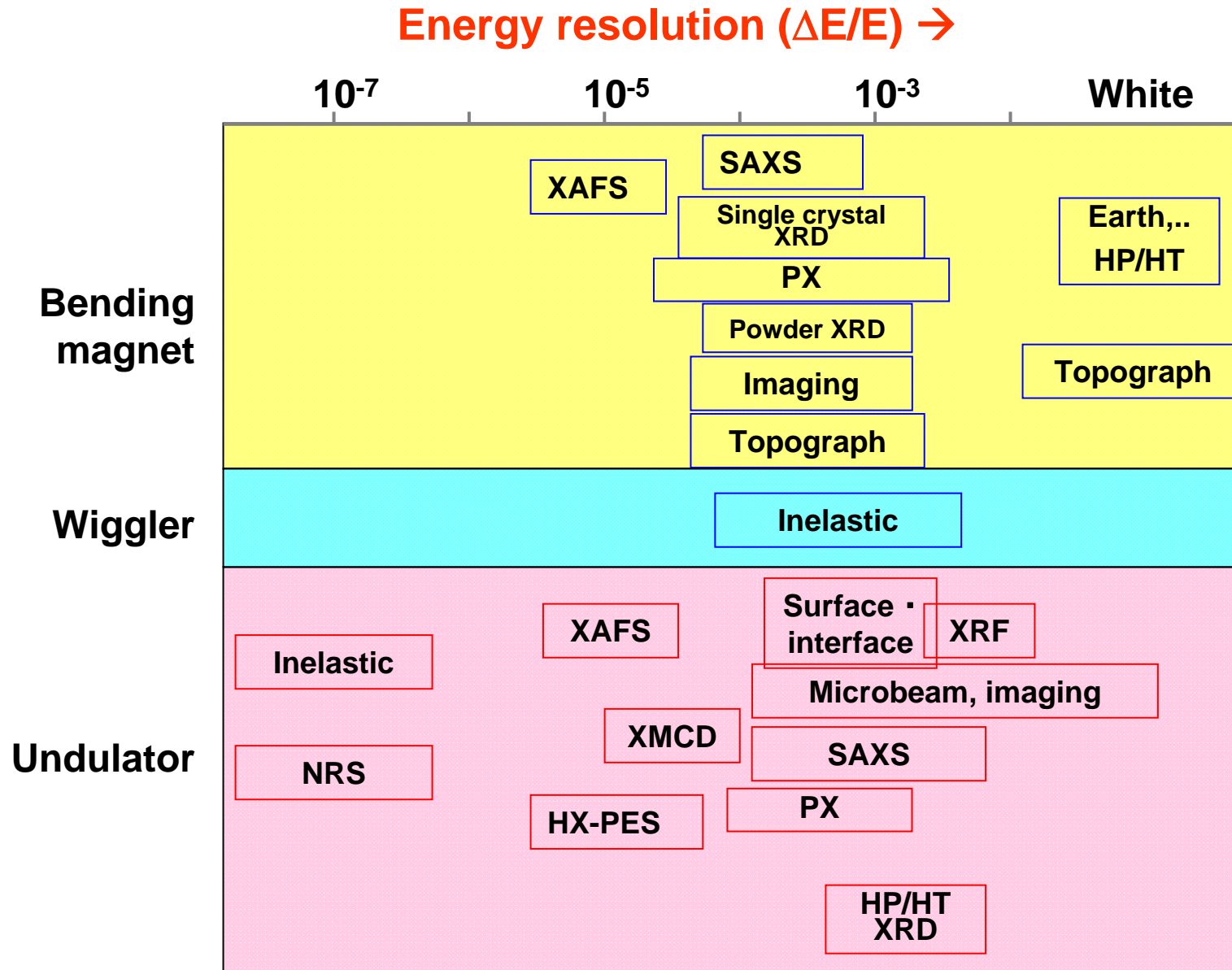
....

→ Light source, monochromator, mirror,
and other optical devices and components

BL classification (energy region)



BL classification (energy resolution)



Light sources

Bending magnet or insertion devices ?

Bending magnet:

for wide energy range, continuous spectrum
for wide beam application for large samples

Undulator (major part of 3GLS beamline):

for high-brilliance beam
for micro-/ nano-focusing beam

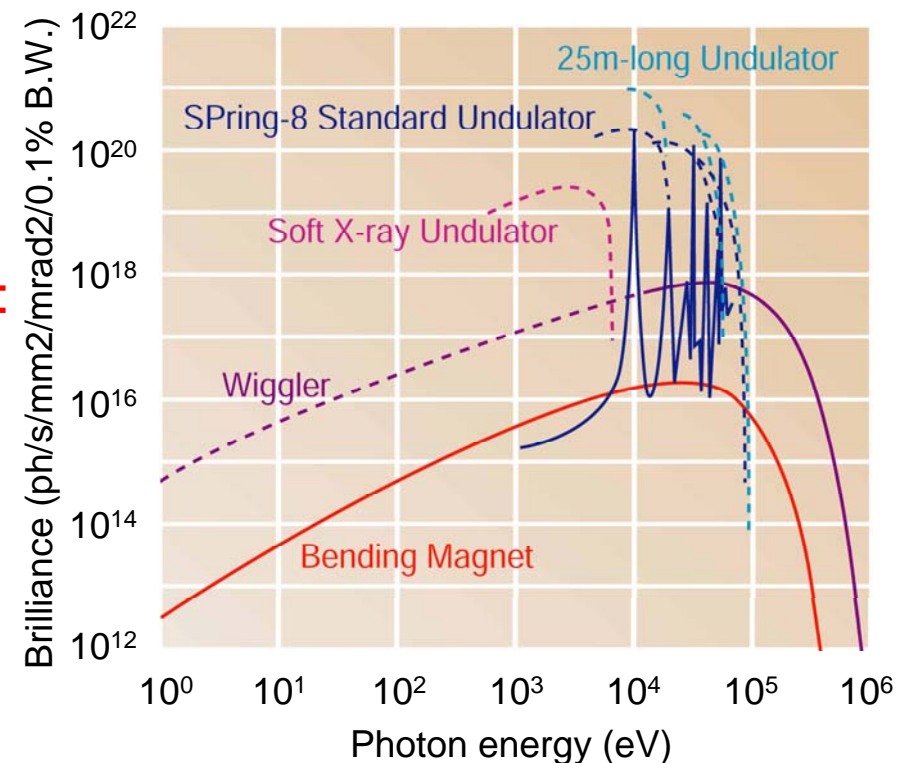
Wiggler:

for higher energy X-rays > 100 keV.

→ short-period-length undulator

Power, brilliance, flux density, partial flux,..
can be calculated using code.

e.g. "SPECTRA" by T. Tanaka & H. Kitamura



Brilliance for SPRING-8 case

Monochromator

Key issues from experimental request:

White or monochromatic ? → **monochromatic**

Energy region ← Bragg's law

Energy resolution ← Source divergence, Darwin width, ..

Throughput ← related to energy resolution

Double-crystal monochromator for fixed-exit

Single-bounce monochromator is for limited case

Heat load ← depending on light source

X-ray monochromator using perfect crystal

→ Principle of monochromator

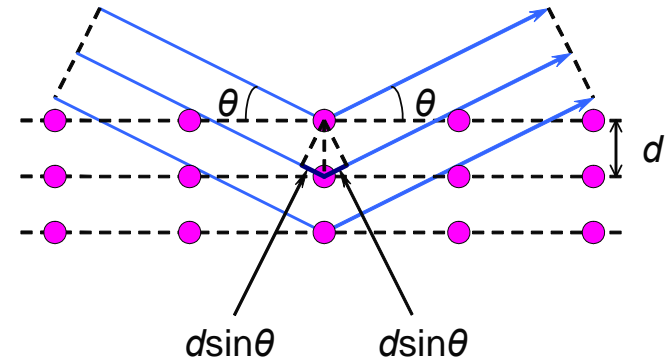
Bragg reflection from perfect single crystal

$$2d \sin \theta_B = n \lambda$$

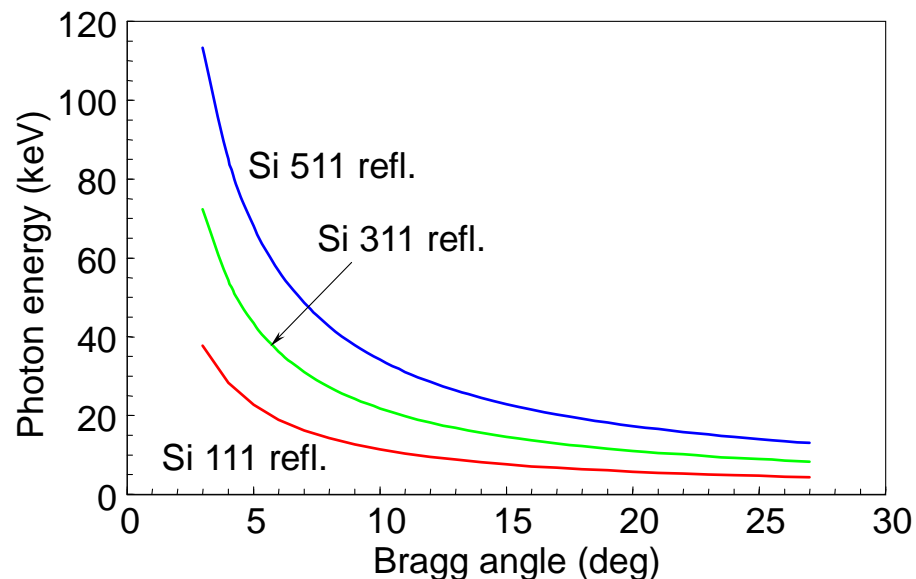
d : Lattice (d)-spacing,

θ_B : glancing angle (Bragg angle),

λ : X-ray wavelength



→ Crystal: **silicon, diamond,...**



e.g. for SPring-8 standard DCM

Bragg angle: 3~27°

Photon energy range:

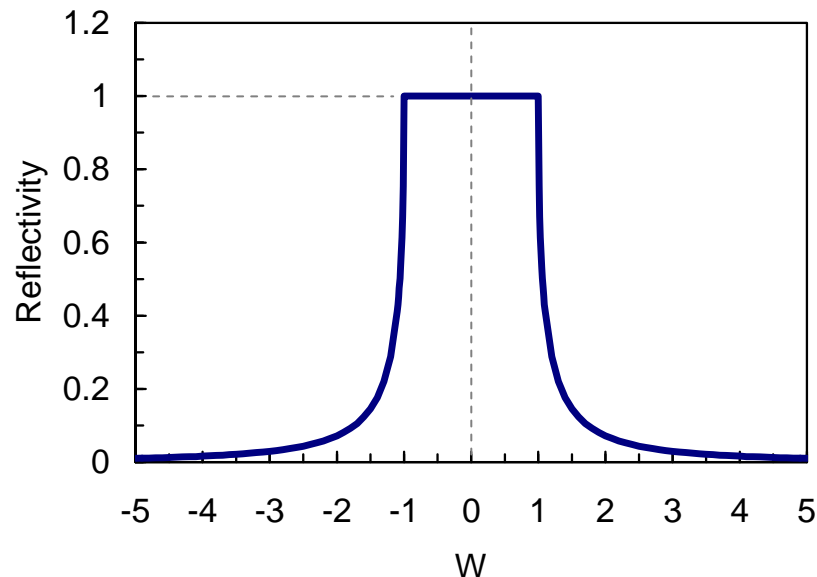
- Crystal & lattice plane

- Bragg angle range

Reflectivity (intrinsic rocking curve)

Darwin curve (intrinsic rocking curve for monochromatic plane wave)
for Bragg case, no absorption, and thick crystal:

$$\left\{ \begin{array}{ll} R = \frac{|\gamma_h|}{\gamma_0} \left| \frac{E_h}{E_0} \right|^2 = \left(W + \sqrt{W^2 - 1} \right)^2 & (W < -1) \\ R = 1 & (-1 \leq W \leq 1) \quad \leftarrow \text{Total reflection region} \\ R = \left(W - \sqrt{W^2 - 1} \right)^2 & (W > 1) \end{array} \right.$$



For symmetric Bragg case, sigma polarization:

$$W = \{ \Delta \theta \sin 2\theta_{BK} + \chi_{0r} \} \frac{1}{|\chi_{hr}|}$$

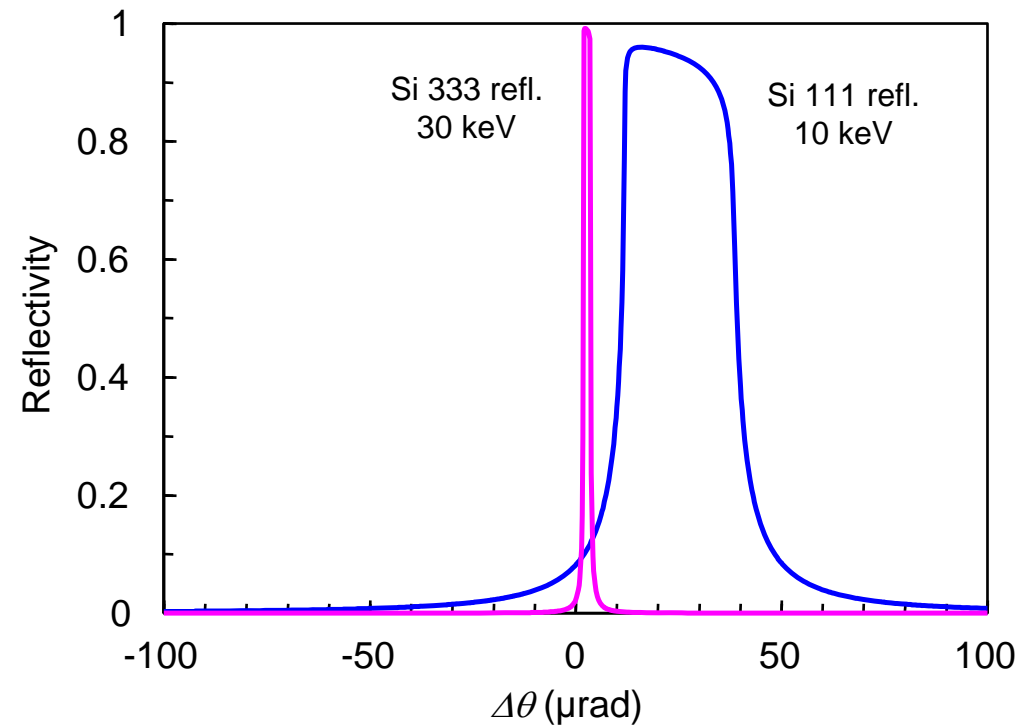
Darwin width $\rightarrow \Delta W = 2$

$$\omega = \frac{2|\chi_{hr}|}{\sin 2\theta_{BK}} \propto |F_h|$$

Crucial for energy resolution and throughput!

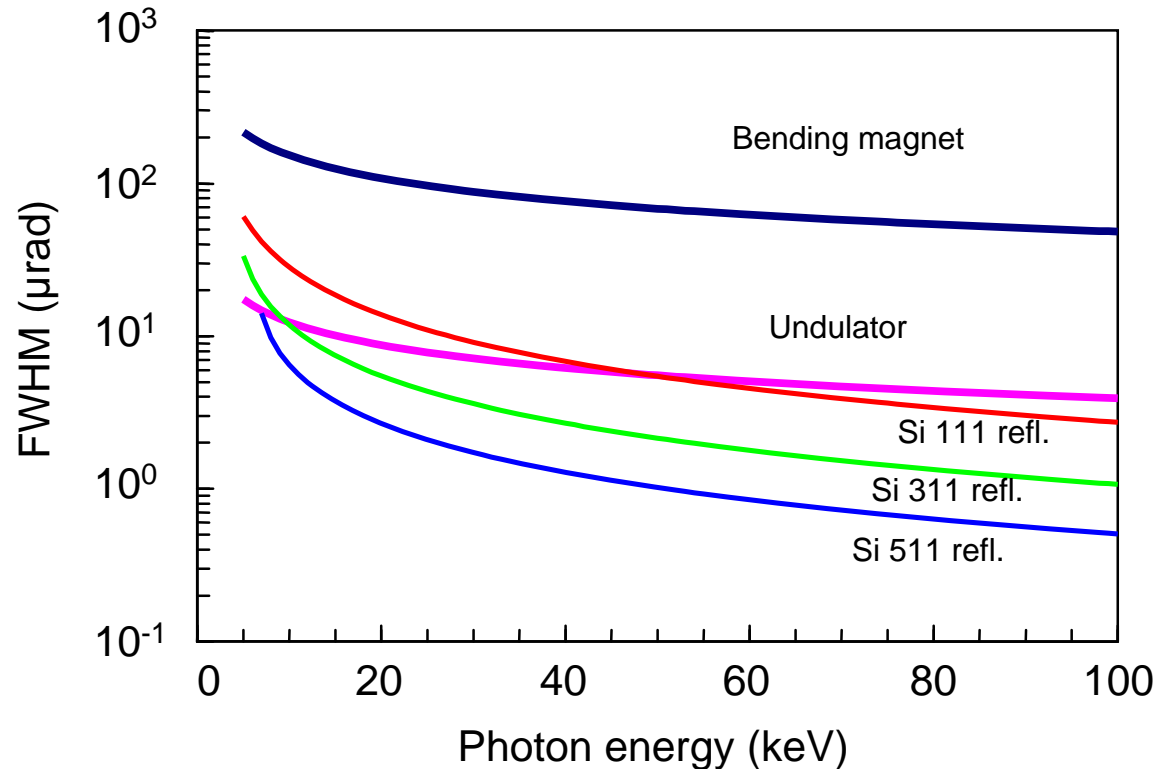
Intrinsic rocking curve for silicon

For Bragg case, **with absorption**, and thick crystal:



- Darwin width of $0.1 \sim 100 \mu\text{rad}$
- Peak ~ 1 with small absorption

Source divergence and diffraction width



Natural divergence

- Bending magnet

$$\sigma_{r'} \approx 0.597 \frac{1}{\gamma} \sqrt{\frac{\lambda}{\lambda_c}} \propto \sqrt{\frac{1}{\hbar\omega}}$$

- Undulator

$$\sigma_{r'} \approx \frac{1}{\gamma} \sqrt{\frac{\lambda}{N\lambda_u}} \propto \sqrt{\frac{1}{\hbar\omega}}$$

For SPring-8 case:

- Bending magnet

$$\sigma_{r'} \approx 60 \mu\text{rad}$$

- Undulator ($N=140$)

$$\sigma_{r'} \approx 5 \mu\text{rad}$$

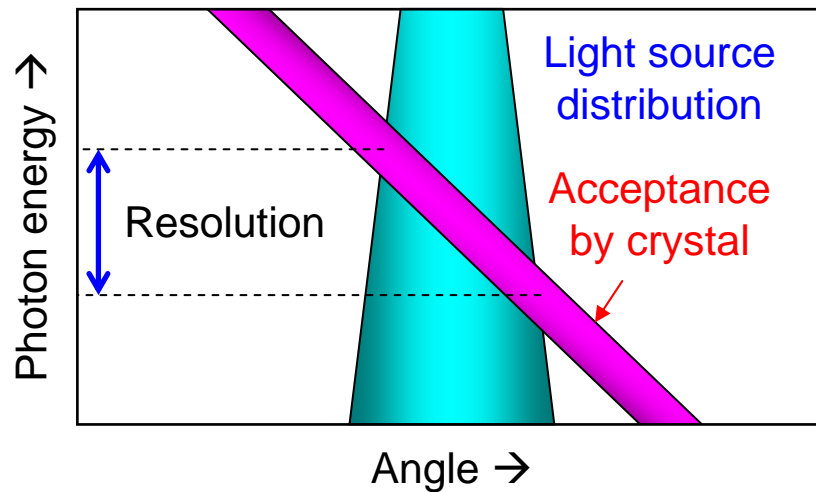
Divergence of undulator radiation ~ diffraction width

Energy resolution

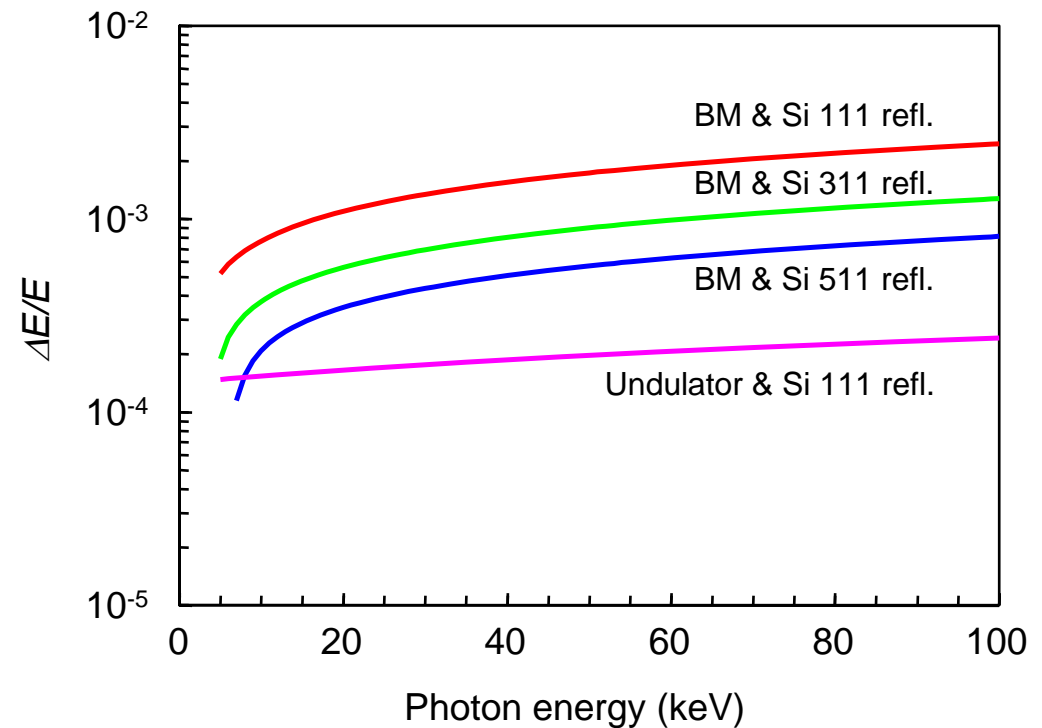
$$\frac{\Delta E}{E} = \cot \theta_B \sqrt{\Omega^2 + \omega^2}$$

Ω : source divergence,

ω : diffraction width

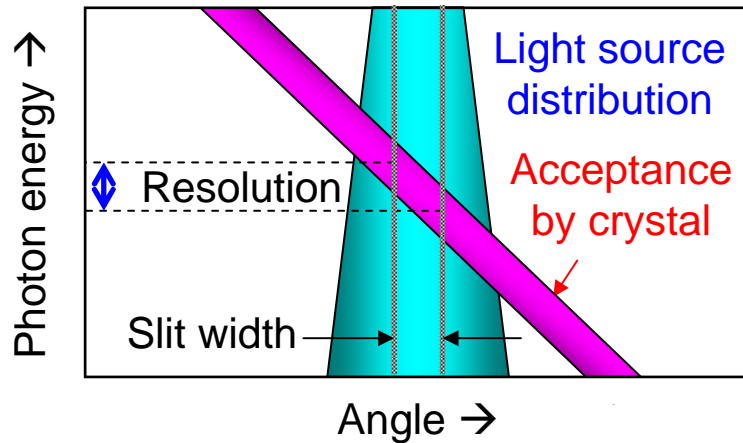


Angle-energy diagram
(DuMond diagram)

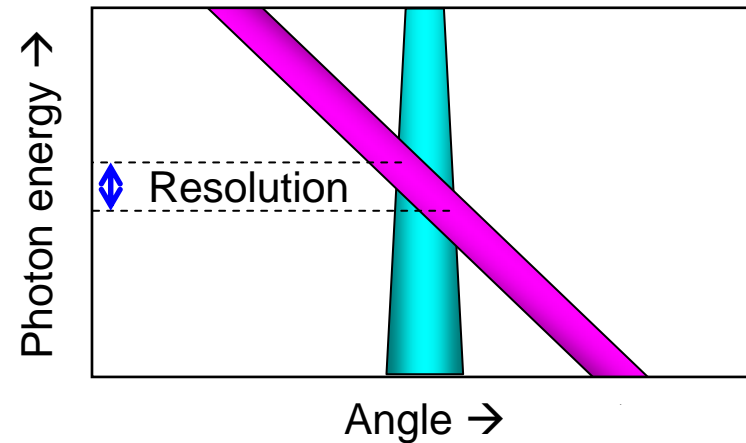


For usual beamline : $\Delta E/E = 10^{-5} \sim 10^{-3}$

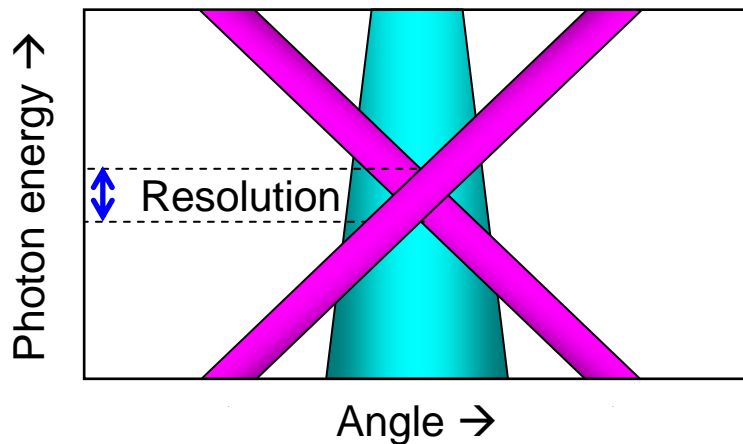
Improvement of energy resolution



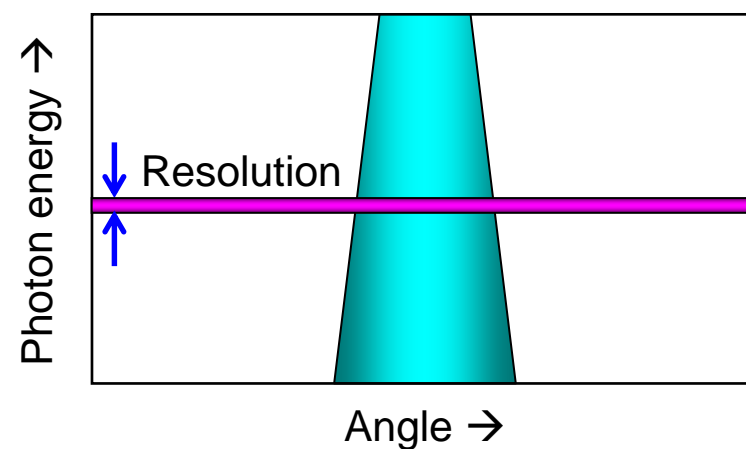
(A) Collimation using slit



(B) Collimation using pre-optics
w/ collimation mirror, CRL,...



(C) Additional crystal
w/ (+,+) setting



(D) HR monochromator of
 $\pi/2$ reflection (~meV)

(B)~(D): restriction on photon energy

Photon flux after monochromator

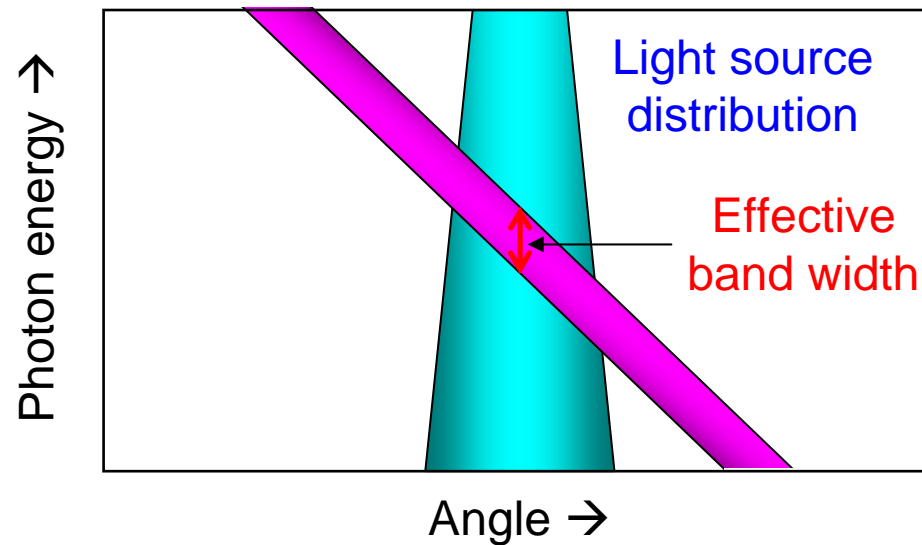
Photon flux (throughput) after monochromator can be estimated using effective band width:

Photon flux (ph/s) =

Photon flux from light source (ph/s/0.1%bw)

x 1000

x Effective band width of monochromator



Throughput is estimated by overlapped area.

Note difference from energy resolution.

Darwin width → energy width

Starting with Darwin width and neglecting anomalous scattering factor f'

$$\chi_{hr} \propto \lambda^2 f_0(d_{hkl})$$

$$\frac{\Delta\lambda}{\lambda} = \omega \cot \theta_B = \frac{2|\chi_{hr}|}{\sin 2\theta_B} \cot \theta_B$$

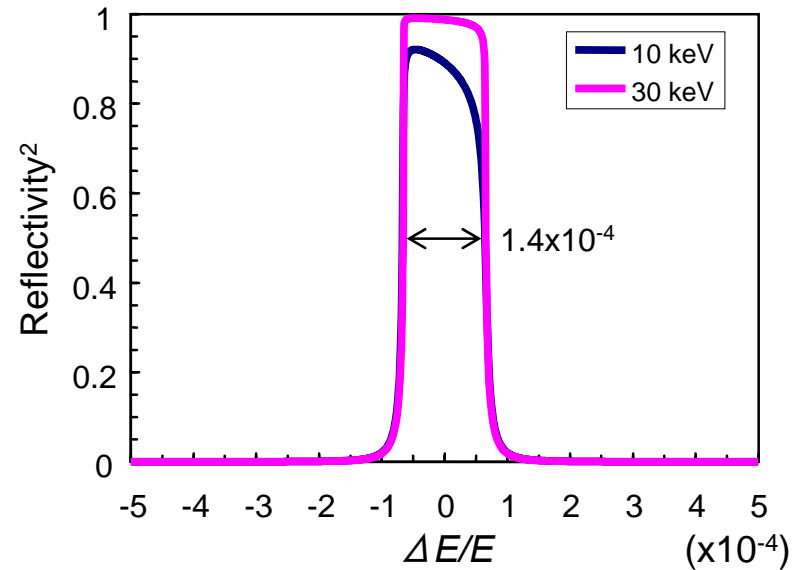
$$\frac{\Delta\lambda}{\lambda} = \frac{|\chi_{hr}|}{\sin^2 \theta_B} = 4d_{hkl}^2 \frac{|\chi_{hr}|}{\lambda^2}$$

Energy width:

$$\frac{\Delta E}{E} = -\frac{\Delta\lambda}{\lambda} \propto d_{hkl}^2 f_0(d_{hkl})$$



Independent of photon energy



e.g. for Si 111 refl. DCM case

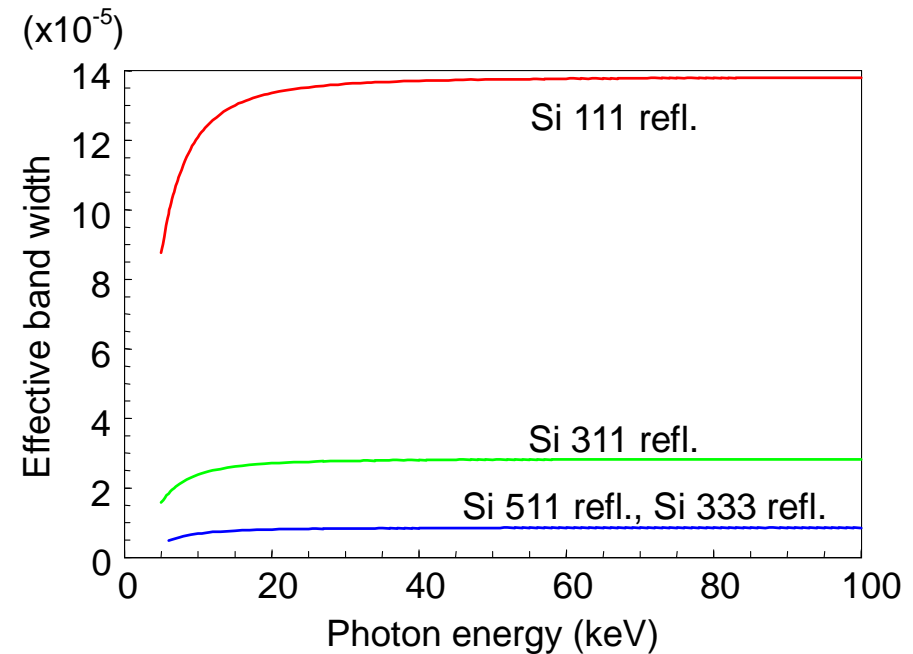
Note relative energy width is constant.

Effective band width (Integrated intensity)

For double-crystal monochromator

$$\left(\frac{\Delta E}{E}\right)_{\text{Eff}} = \frac{|\chi_{hr}|}{2 \sin^2 \theta_{\text{BK}}} \int R(W)^2 dW$$

\uparrow
 $= \sim 2$

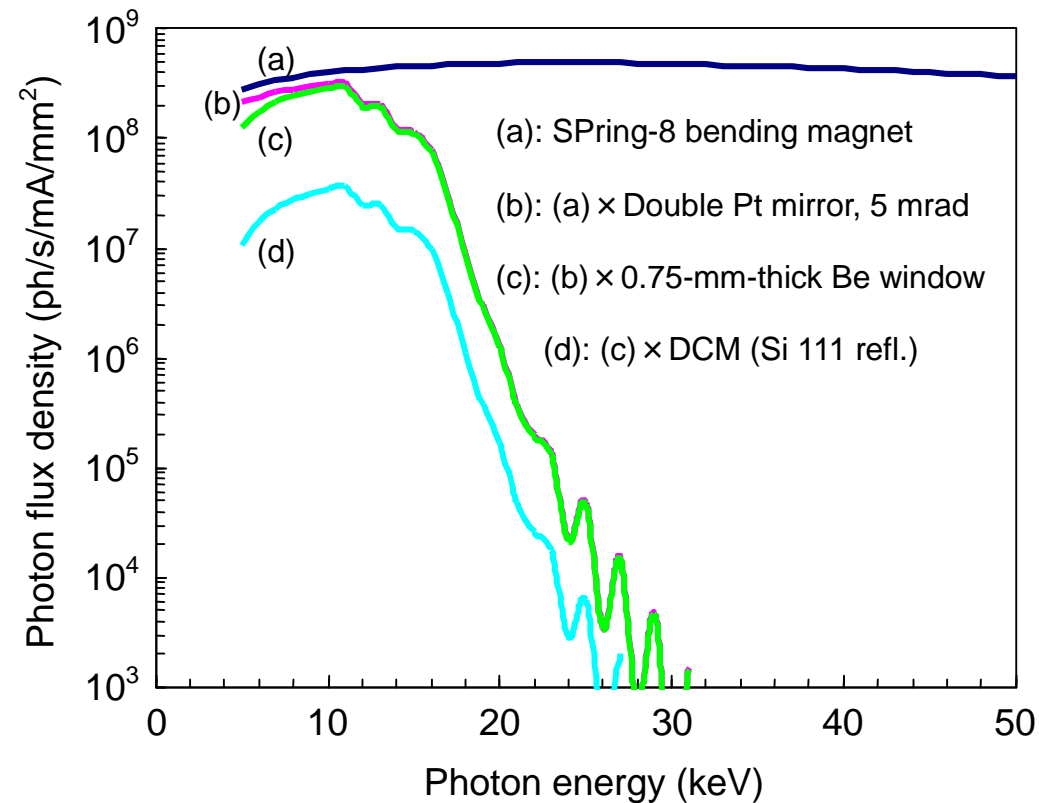


Effective band-width is obtained
by integration of rocking curve.

When you need flux \rightarrow Lower order (Si 111 refl.,...)

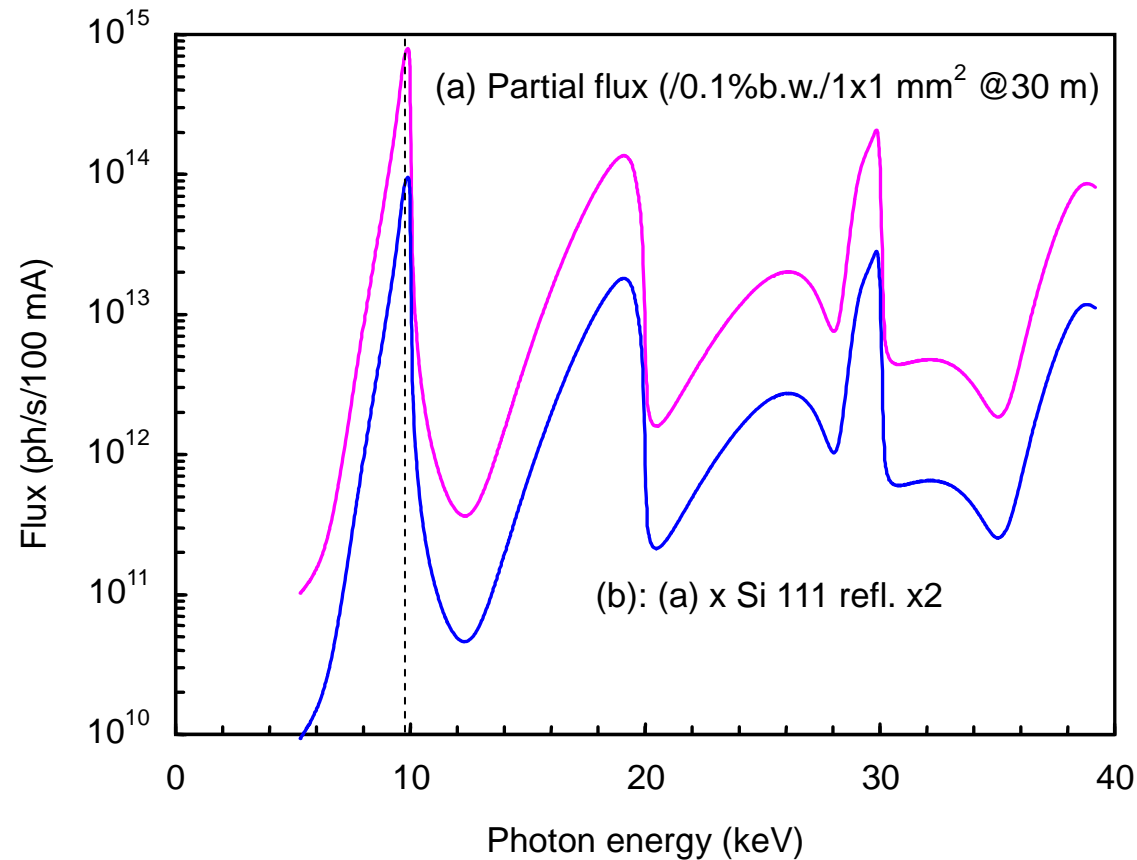
When you need resolution \rightarrow Higher order (Si 311, Si 511 refl,..)

Photon flux at bending magnet beamline



Example of photon flux estimation at bending magnet beamline BL02B1. (Photon flux density at 50 m from the source)

Photon flux at undulator beamline



We can obtain photon flux of $10^{13} \sim 10^{14}$ ph/s/100 mA/mm² using standard undulator sources and Si 111 reflections at SPring-8 beamlines.

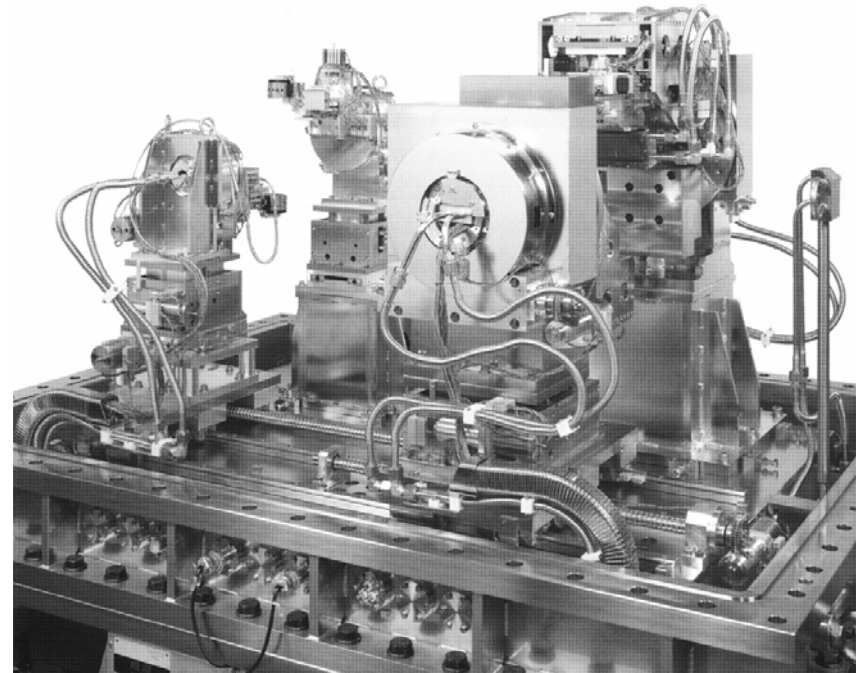
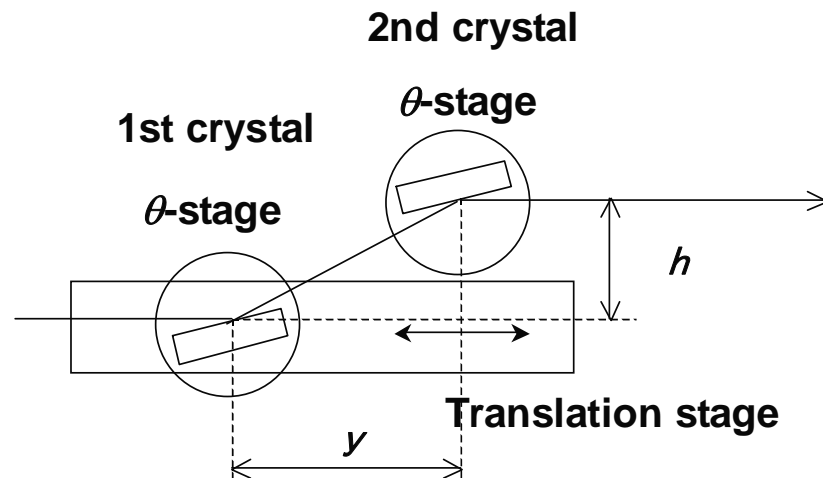
Double-crystal monochromator

Fixed-exit operation for usability at experimental station.

Choose suitable mechanism for energy range
(Bragg angle range).

Precision, stability, rigidity,...

$\theta_1 + \text{translation} + \theta_2$ computer link



SPring-8 BL15XU

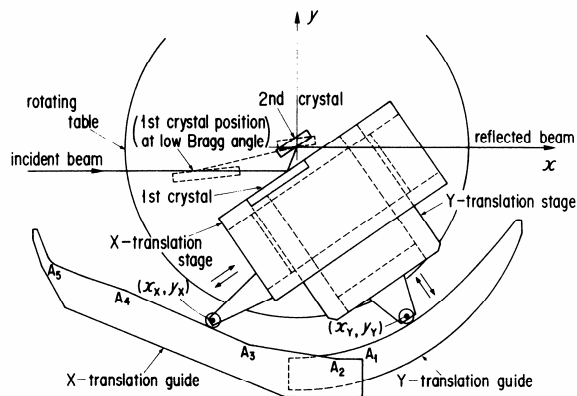
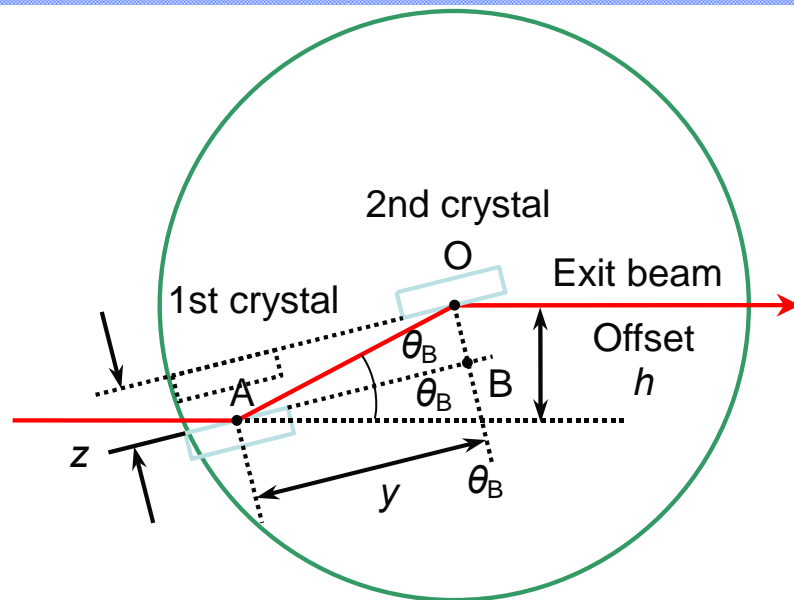
SPring-8 information Vol. 5, No.1 (2000)

$h = 100 \text{ mm}$, $\theta_B = 5.7 \sim 72^\circ$ (for lower energy range)

Large offset, long-stroke translation

Difficulty of adjustment between 1st and 2nd crystal

θ + two translation (KEK-PF)



PF BL-4C..

Matsushita et al., NIM A246 (1986)



$$h = 25 \text{ mm}, \theta_B = 5 \sim 70^\circ$$

Two cams for two translation-stages

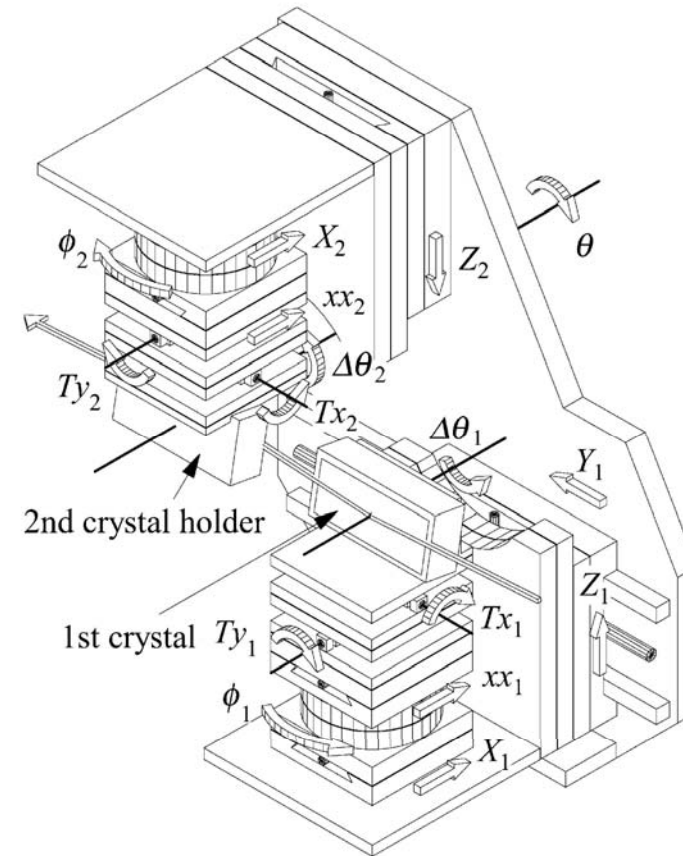
Rotation center at 2nd crystal

SPring-8 standard DCM



Offset $h= 30$ mm

$\theta_B=3\sim 27^\circ$ for higher energy range



High-precision adjustment stages

for undulator beamline DCM

Sub- μm & sub- μrad control

Crystal cooling

Why crystal cooling ?

Q_{in} (Heat load by SR) = Q_{out} (Cooling + Radiation,...)

→ with temperature rise ΔT

→ $\alpha \Delta T = \Delta d$ (d -spacing change)

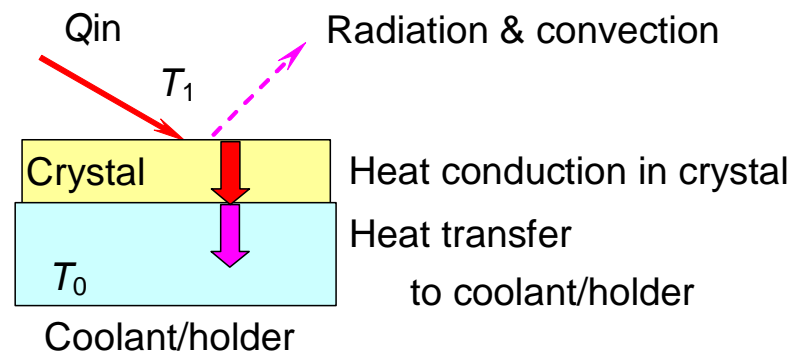
α : thermal expansion coefficient

or → $\Delta \theta$ (bump of lattice due to heat load)

Miss-matching between 1st and 2nd crystals occurs:

→ Thermal drift, Loss of intensity, Broadening of beam, loss of brightness

→ Melting or limit of thermal strain → **Broken !**



Solution for crystal cooling

We must consider:

Thermal expansion of crystal: α ,

Thermal conductivity in crystal: κ ,

Heat transfer to coolant and crystal holder.

Solutions:

(S-1) $\kappa/\alpha \rightarrow$ Larger

(S-2) Large contact area between crystal and coolant/holder
 \rightarrow larger

(S-3) Irradiation area \rightarrow Larger, and power density \rightarrow smaller

Figure of merit

| | Silicon 300 K | Silicon 80 K | Diamond 300 K |
|-------------------------------|----------------------|---------------------|--------------------|
| κ (W/m/K) | 150 | 1000 | 2000 |
| α (1/K) | 2.5×10^{-6} | -5×10^{-7} | 1×10^{-6} |
| $\kappa / \alpha \times 10^6$ | 60 | 2000 | 2000 |

Figure of merit of cooling:
Good for silicon (80 k) and diamond (300 K)

For SPring-8 case

Bending magnet beamline

Power and density : ~ 100 W, ~ 1 W/mm² @40 m

Method:

→ Direct cooling with fin crystal

← S-2

Undulator beamline

(Linear undulator, $N= 140$, $\lambda_u= 32$ mm)

Power and density : ~ 500 W , ~ 500 W/mm² @40 m

Methods:

→ Direct cooling of silicon pin-post crystal

← S-2

+ Rotated inclined geometry (→10 W/mm²)

← S-3

→ or Cryogenic cooling using LN₂ circulation

← S-1

→ or Indirect cooling of Ila diamond crystal

← S-1

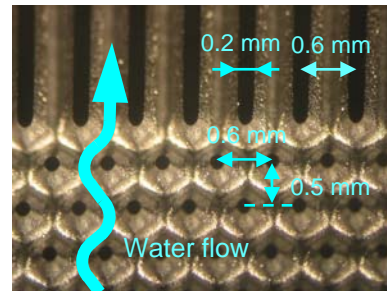
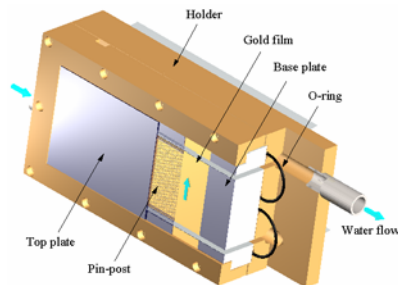
Crystal monochromator at SPring-8

For high heat load undulator beamline

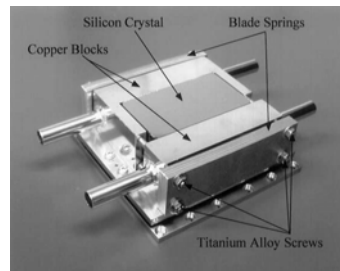
(Linear undulator, $N=140$, $\lambda_u=32$ mm)

Power and density : ~ 500 W , ~ 500 W/mm² @40 m

a) Direct cooling of silicon pin-post crystal



b) Silicon cryogenic cooling



c) Ila diamond with indirect water cooling

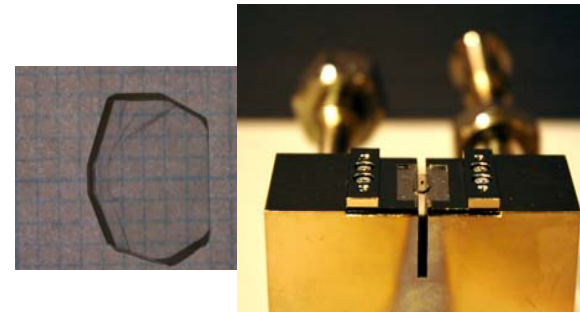


Figure of merit of b and c is almost same.

Mirror

- Higher harmonics rejection
- Bent mirror for focusing/collimation
- *Figured mirror for micro~nanobeam*

Mirror quality

Mirror quality must be considered.

→ Micro-roughness

- Reduction of reflectivity
- Lower-energy shift of critical energy
- Diffuse scattering

Optical (Zygo) range (<1 mm): ~ 0.3 nm rms or less

AFM range (<1 μm): ~ 1 nm rms or less

→ Insufficient coating

- Reduction of reflectivity
- Lower-energy shift of critical energy

Should be $\sim 100\%$

→ Slope error

- Beam shape deformation
- Wave-front distortion
- Flux density loss

LTP range (<1 m): ~ 1 μrad or less

Mirror reflectivity

Mirror reflectivity for sigma-polarization:

$$R = \left| \frac{k_{iz} - k_{tz}}{k_{iz} + k_{tz}} \exp(-2k_{iz}k_{tz}\sigma^2) \right|^2$$

$$k_{iz} = \frac{2\pi}{\lambda} \cos \theta, \quad k_{tz} = \frac{2\pi}{\lambda} \sqrt{n^2 - \cos^2 \theta}$$

k_{iz}, k_{tz} : Normal components of incidence and transmitted wave vectors

n : complex index of refraction

θ : glancing angle

σ : high-spatial-frequency roughness (AFM region)

Surface roughness must be considered
around critical energy (angle).

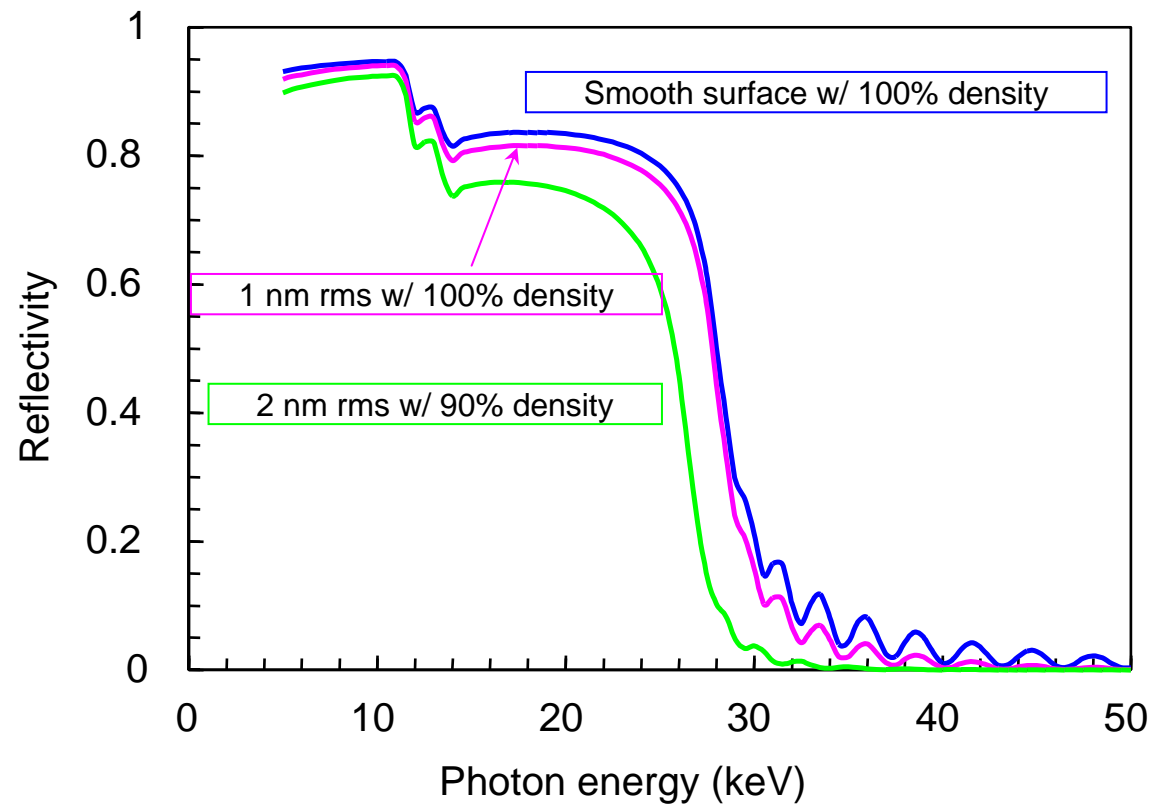
Effect of roughness

e.g. reflectivity of Pt mirror

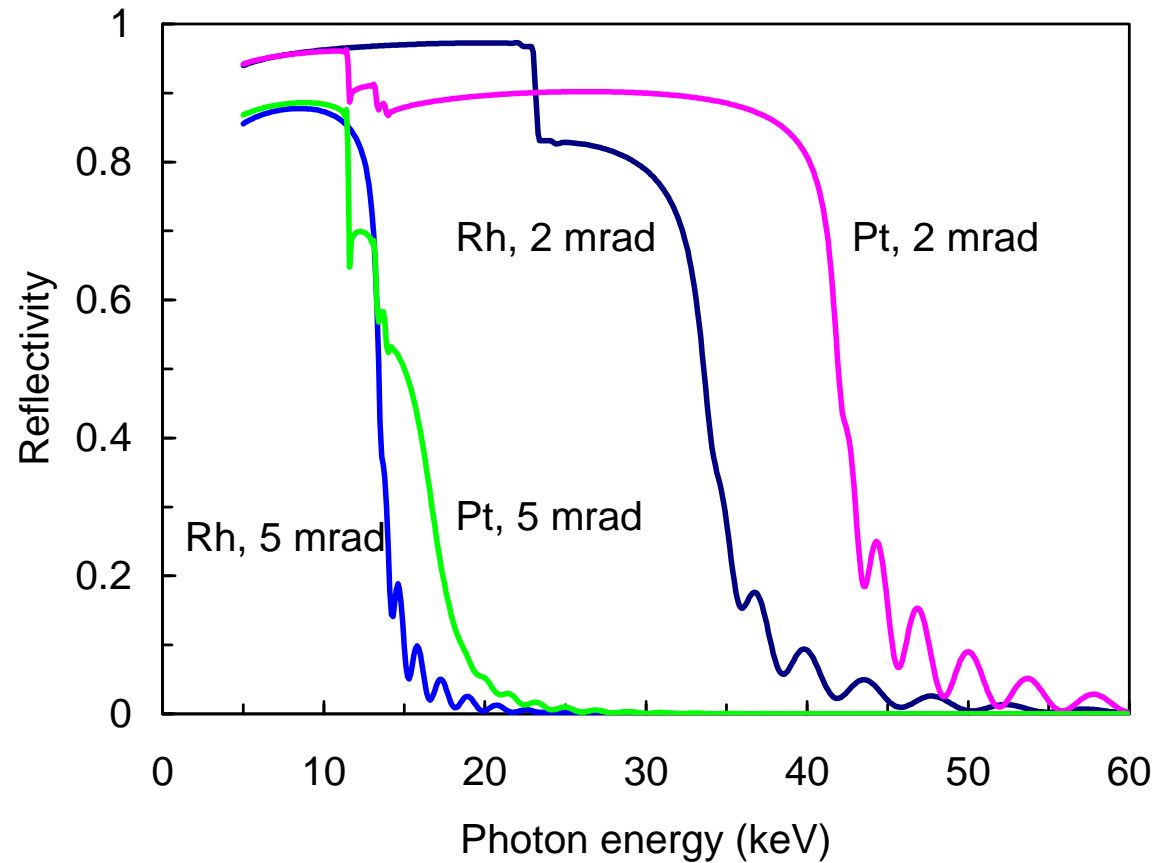
s-polarization

Glancing angle: 3 mrad

Film thickness: 50 nm



Example of mirror reflectivity



Thickness 50 nm, roughness 1 nm

Material, glancing angle, length

❑ Material

Si, SiC for white radiation

SiO₂, Glass,.. for monochromatic beam

❑ Coating

Pt, Rh, Ni,...

Depending on energy, reflectivity, absorption edges,..

❑ Glancing angle

2~10 mrad (For SPring-8 X-ray beamline)

Depending on energy, reflectivity, absorption edges,..

❑ Mirror length

400 mm~1 m (For SPring-8 X-ray beamline)

Depending on the beam size and glancing angle

e.g. $100 \mu\text{rad} \times 50 \text{ m} / 5 \text{ mrad} = 1 \text{ m}$

Focusing with mirror

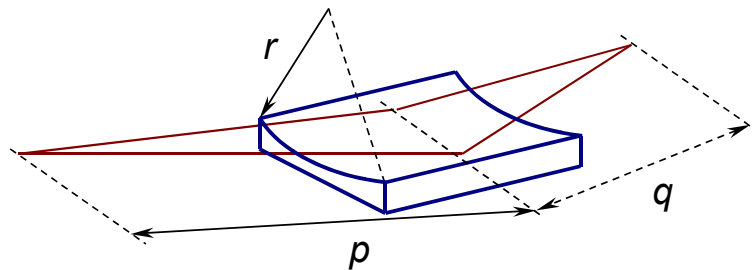
For beam focusing or collimation, we need;

elliptical mirror, ellipsoidal mirror, parabolic mirror, paraboloidal mirror,...

→ We can approximate by bending:

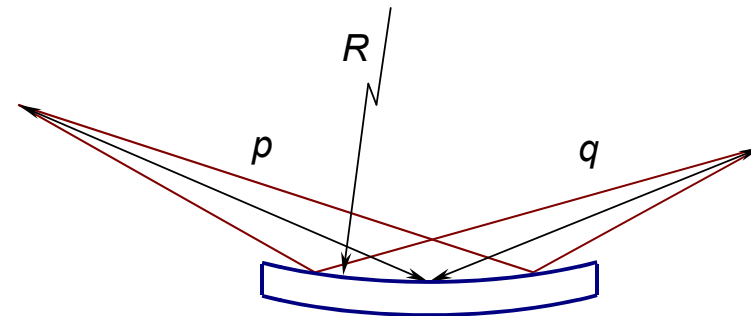
flat → meridional cylinder,

sagittal cylinder → toroidal,...



Sagittal focusing
w/ sagittal cylinder

$$r = \frac{2pq}{p+q} \sin \theta$$



Meridional focusing
w/ meridional cylinder

$$R = \frac{2pq}{(p+q) \sin \theta}$$

e.g.) $\theta = 5 \text{ mrad}$, $p = 40 \text{ m}$, $q = 10 \text{ m}$

$r = 80 \text{ mm}$, $R = 3.2 \text{ km}$

✂ When $q \rightarrow \infty$

We obtain parallel beam:

$$r = 2p \sin \theta$$

$$R = 2p / \sin \theta$$

Focusing with mirror

Beam size using meridional cylinder mirror:

$$F_{\text{coma}} = 2.35\Sigma M$$

$$F_{\text{spherical}} = \frac{3L^2\theta(1-M^2)}{16pM}$$

$$F_{\text{fabrication}} = 2 \times 2.35\Delta_{\text{fabrication}}Mp$$

$$F_{\text{total}} = \left[(F_{\text{coma}} + F_{\text{spherical}})^2 + F_{\text{fabrication}}^2 \right]^{1/2}$$

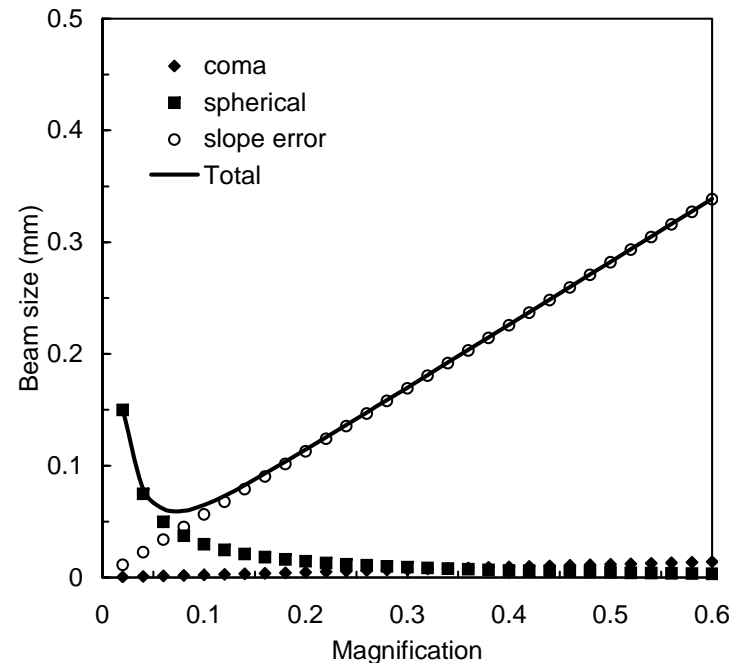
Σ : source size

M : magnification = q/p

L : mirror length

$\Delta_{\text{fabrication}}$: slope error

θ : glancing angle



e.g.

$$\Sigma = 10 \mu\text{m}$$

$$L = 400 \text{ mm}$$

$$\Delta_{\text{fabrication}} = 3 \mu\text{rad}$$

$$\theta = 4 \text{ mrad}$$

$$p = 40 \text{ m}$$

For micro~nonofocusing, we need precisely-polished and large NA elliptical K-B mirror near exp. station.

SPring-8 standard mirror support

For SPring-8 X-ray beamline

❑ For undulator beamline

400-mm-long, vertical deflection, plane
700-mm-long, horizontal deflection, plane

❑ For bending magnet beamline

1-m-long, vertical deflection,
plane/cylindrical

Options

- Bender
- Indirect water-cooling (side cooling)



For 400-mm-long mirror,
Vertical deflection, w/ bender



For 1-m-long mirror,
vertical deflection,
w/ bender, Indirect water-cooling

Polarization conversion

Phase retarder is used to convert the polarization for XMCD and other applications.

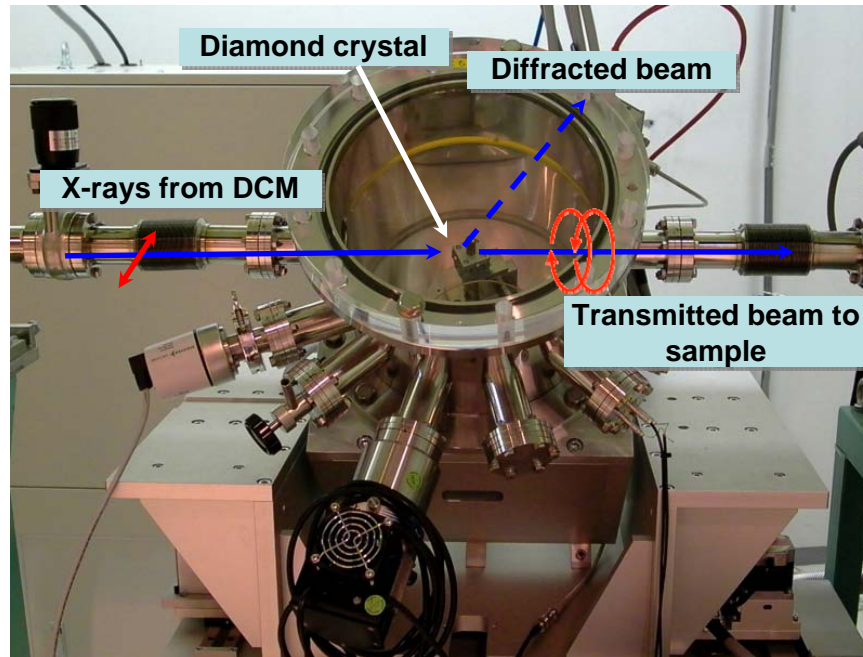
Horizontal polarization \rightarrow right-/left-circular polarization

Horizontal polarization \rightarrow vertical polarization

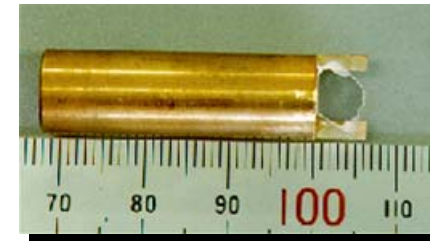
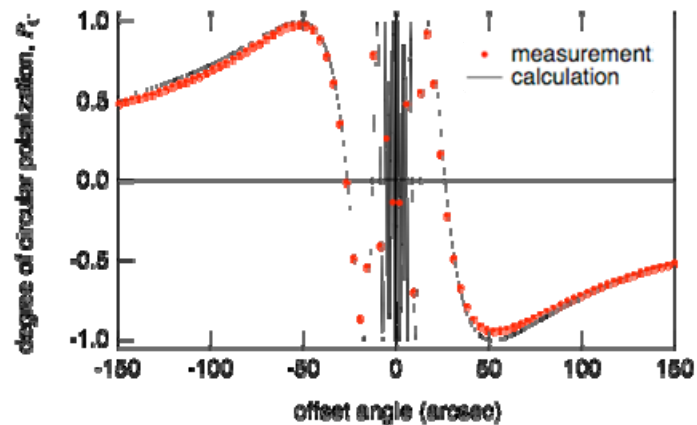
...

Crystal: IIa diamond,...

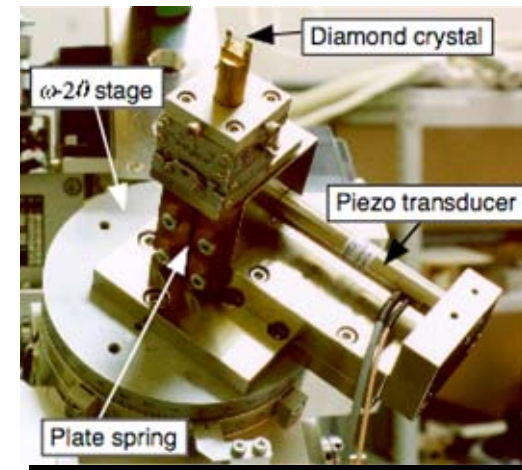
Diamond phase plate system BL39XU



Stages and vacuum chamber of phase retarder



0.45-mm-thick (111) diamond plate



Switcher of phase

Selection of phase plate

| Thickness (mm) | Index | Reflection | Energy (keV) | Transmittance (%) |
|-------------------|-------|------------|--------------|----------------------|
| 0.34 | (111) | 111 Bragg | 5~5.8 | 3~7 |
| | | 220 Laue | 5.8~7.5 | 7~41 |
| 0.45 | (111) | 220 Laue | 6~9 | 5~53 |
| 0.73 | (111) | 220 Laue | 8~12 | 22~65 |
| 2.7 | (001) | 220 Laue | 11~16 | 13~47 |

Spatial coherence

We need:

- small source size (σ_s) & long beamline (L)

$$l_{coh} \propto \frac{\lambda L}{\sigma_s}$$

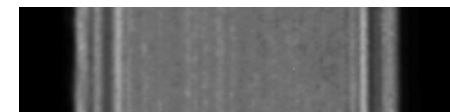
(depend on machine performance and facility design !)

- w/ speckle-free optics.

Mirror

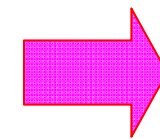
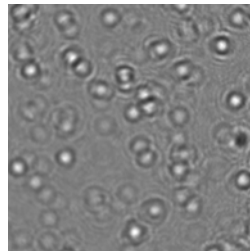


→ ultra smooth surface

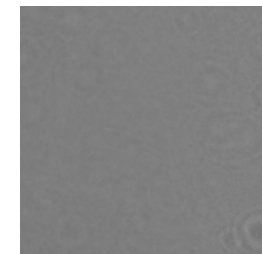


100 mm

Be window



→ void-free & polished



300 μ m

e.g. x-ray images using coherent x-rays

Front-end

(1) Vacuum chamber (with ion pumps,...)

Pressure ($10^{-7} \sim 10^{-5}$ Pa)

(2) Main beam shutter (MBS)

- Water-cooled absorber
- Beam shutter

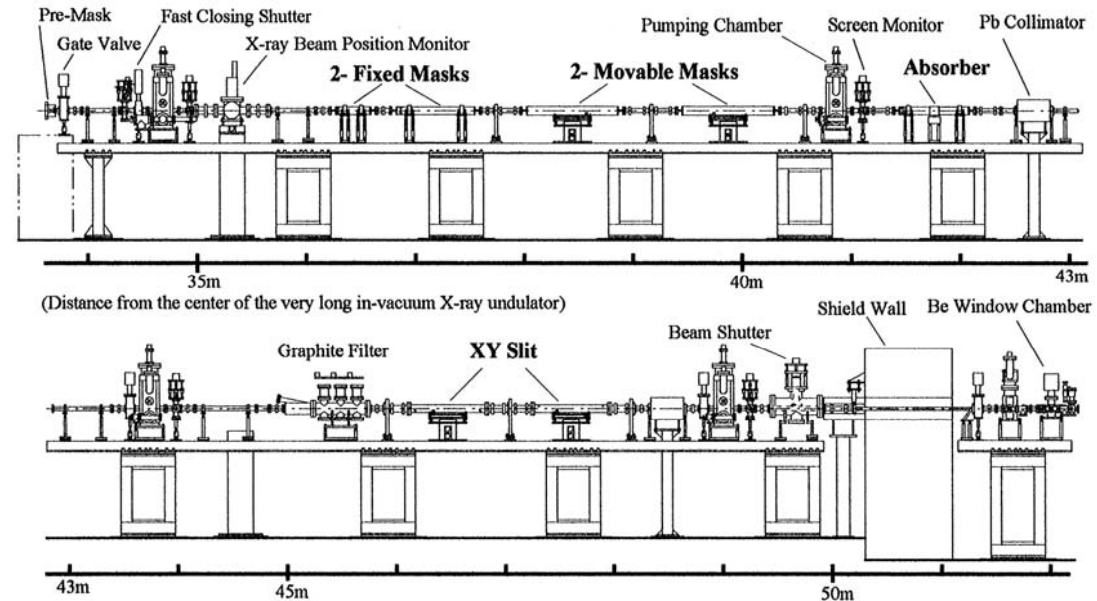
(3) Mask, XY-slit

Spatial power control

(4) Water-cooled Be windows

Protection of UHV

(5) Beam position monitor



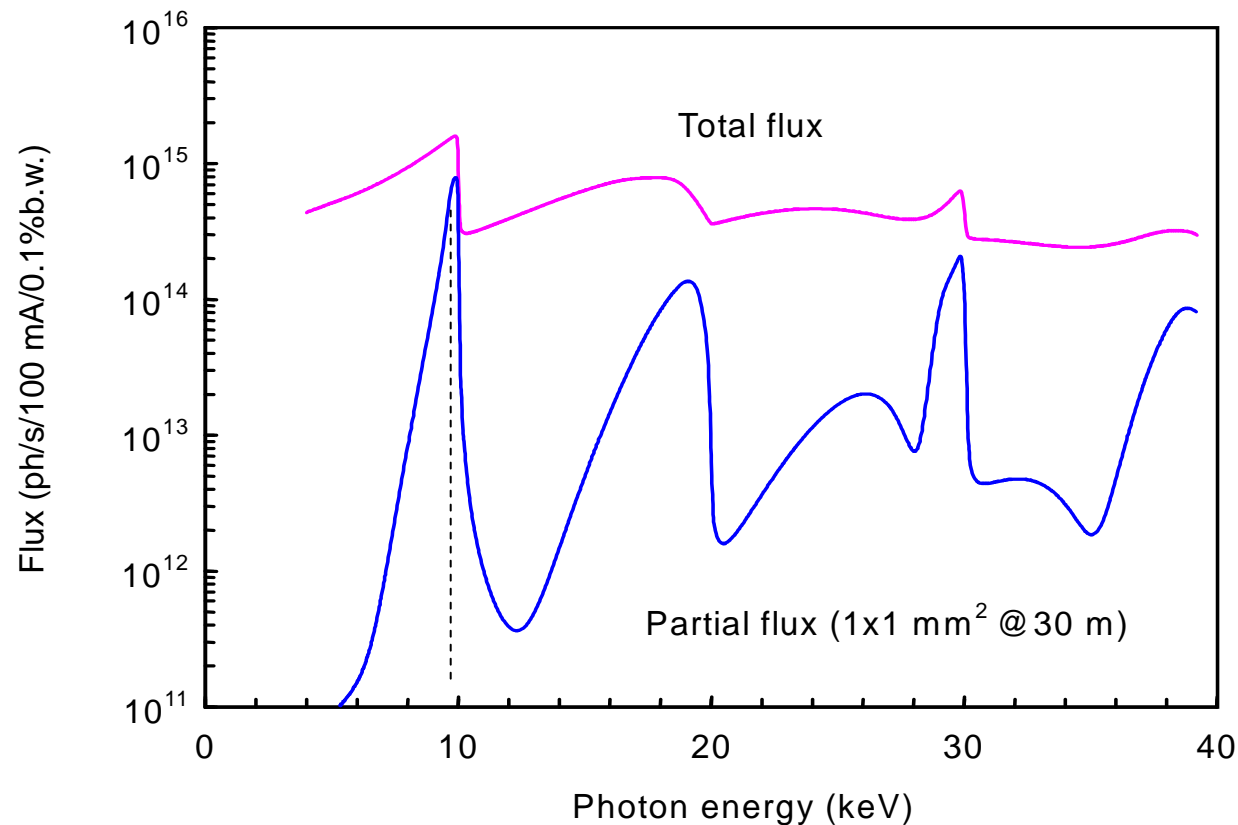
e.g. SPring-8 BL19LXU front-end

It reduces source power of 33 kW down to 500 W

for downstream optics

Grazing incidence technique w/ GlidCop
→ 10 kW/m

Reduction of power at front-end



e.g. Radiation From standard x-ray undulator $\lambda_u=32$ mm, $N=140$,
fundamental peak of 10 keV

Front-end eliminates the out-of-axis power spatially and reduce the
power on the first optical element

Transport channel



e.g. BL14B2

❑ Transport channel components

Exhaustion unit (ion-pump, TMP,...)

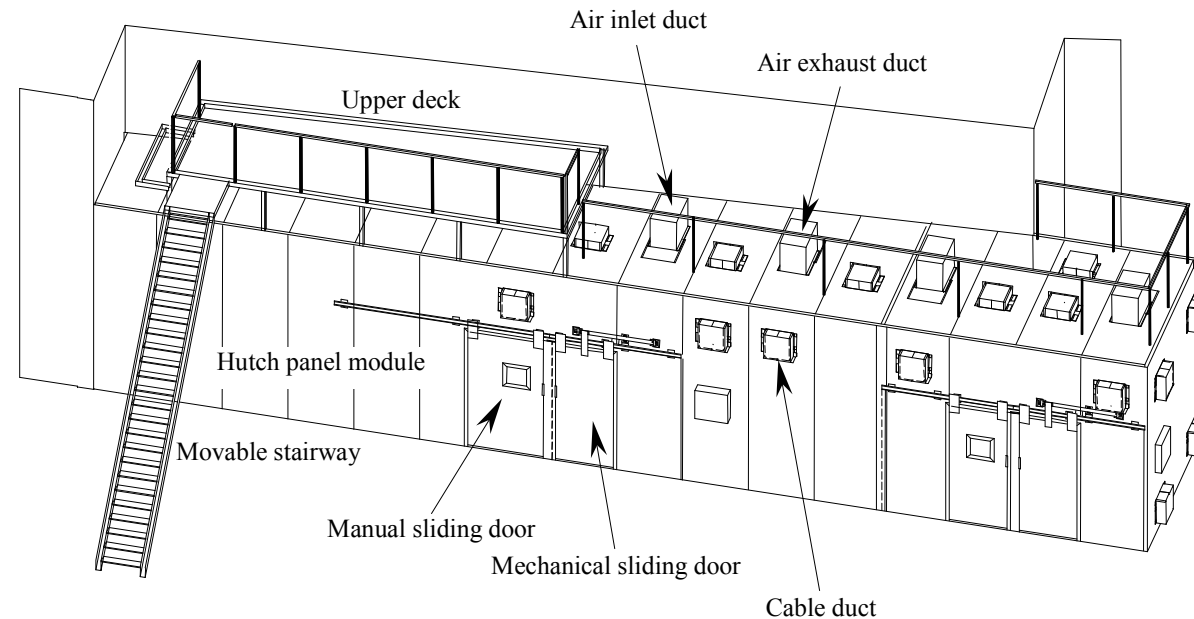
Down stream shutter (W or Pb)

Gamma stopper (Pb)

Beryllium window

Screen monitor

Shielding hutch @SPring-8



❑ Optics hutch

contains optics and transport channel components introducing white radiation

❑ Experimental hutch

contains experimental station equipments introducing monochromatic beam

- **Panel** Steel/ Lead/ steel sandwich structure
- **Lead thickness** Depends on the radiation condition (3~50 mm)
- **Module** Panel, Door, Cable duct, Air inlet/exhaust duct,...
- **Utility** Compressed air, Chilled water, electric power

Other issues on beamline design

- Boundary condition

storage ring and tunnel, neighboring beamline,...

- Radiation safety for shielding hutch, shutter,...

Radiation shielding calculation (EGS4, STAC8,..)

- Control and interlock

Common scheme in the facility.

Connection with machine and safety control

- Others

Utilities: electricity, water, compressed air, air conditioning.

Environmental: vibration of floor, temperature of air,...

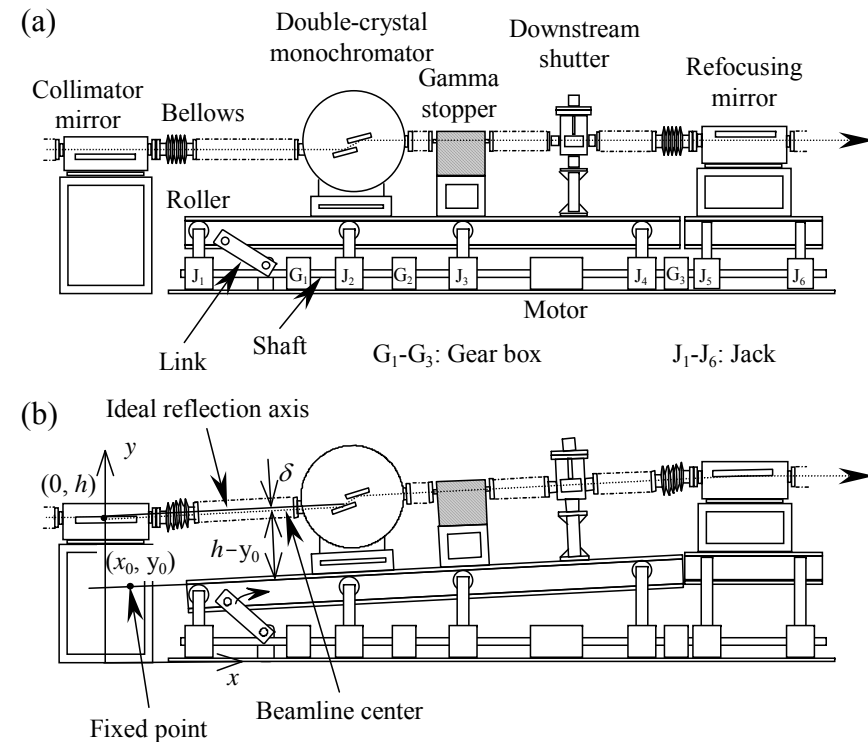
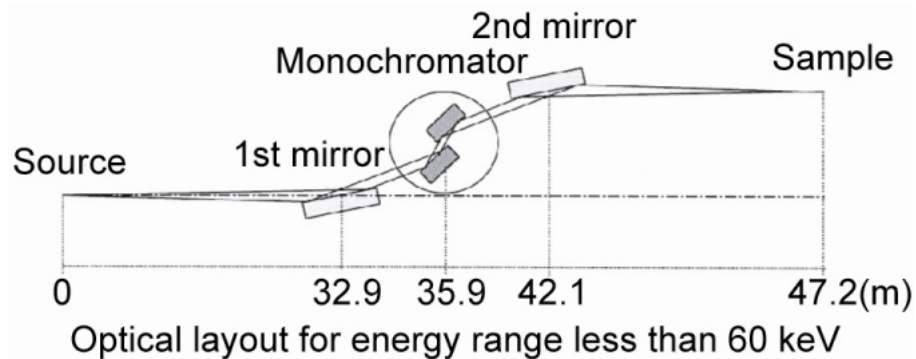
Cooperation with each specialist in the facility is crucial !

Example of x-ray beamline

- SPring-8 case -

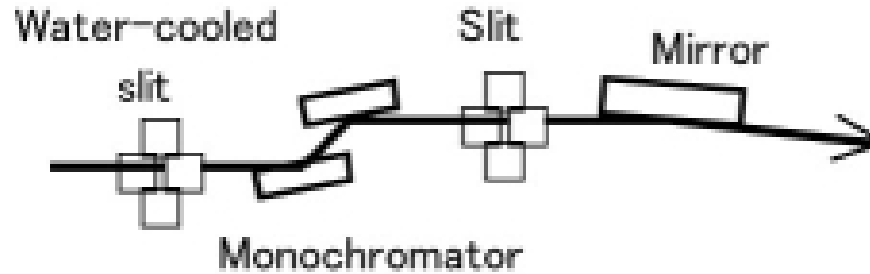
XAFS & single crystal diffraction

- Bending magnet
- Collimator mirror,
- + DCM,
- + refocusing mirror

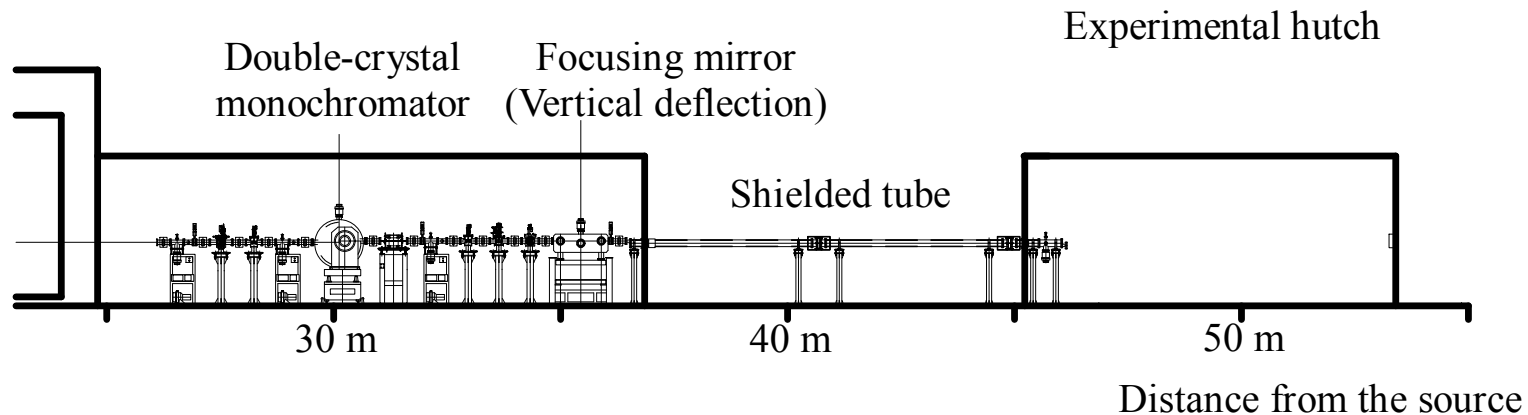


Protein crystallography

- Bending magnet
- DCM + focusing mirror

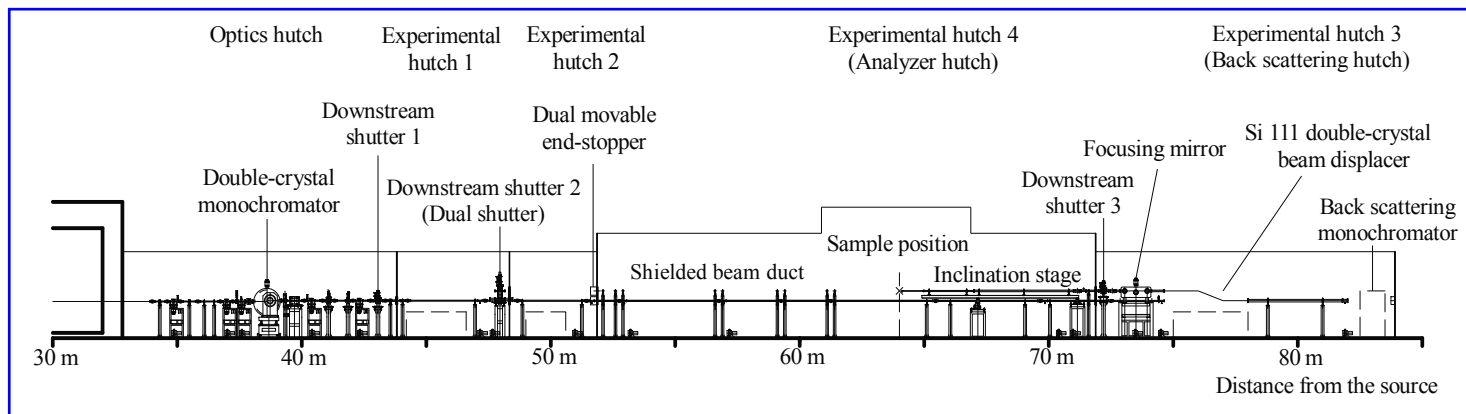
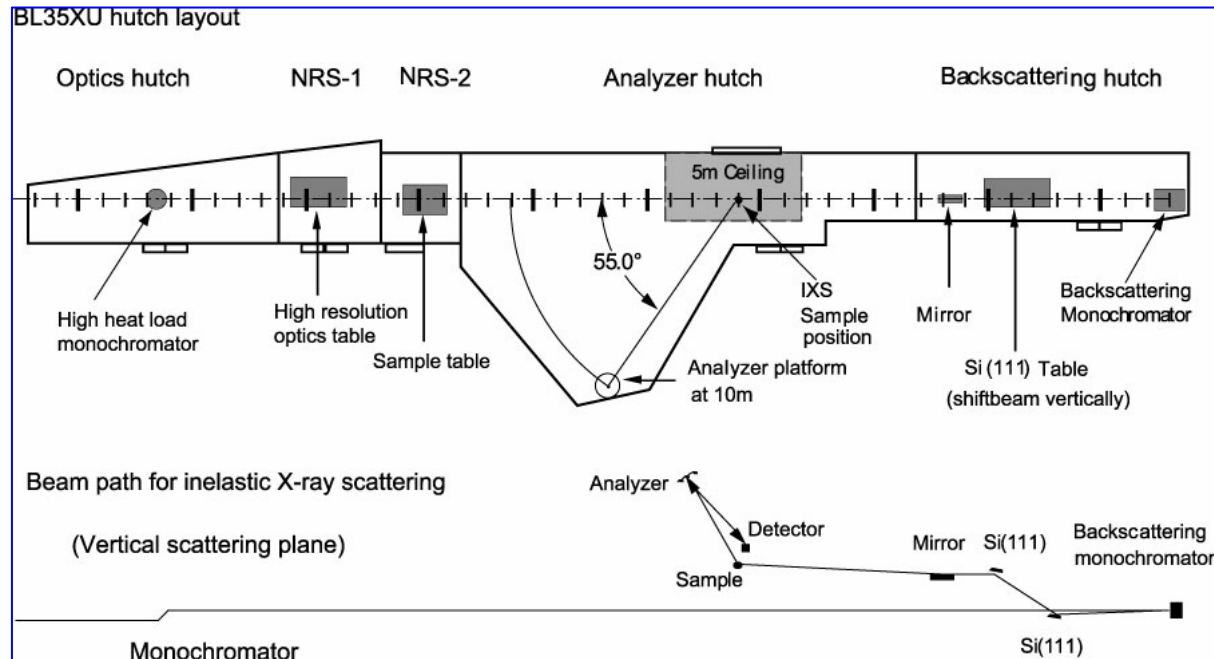


Optics hutch with standard components



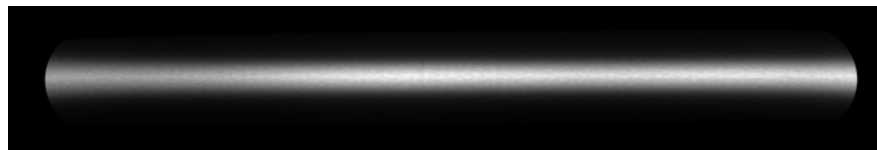
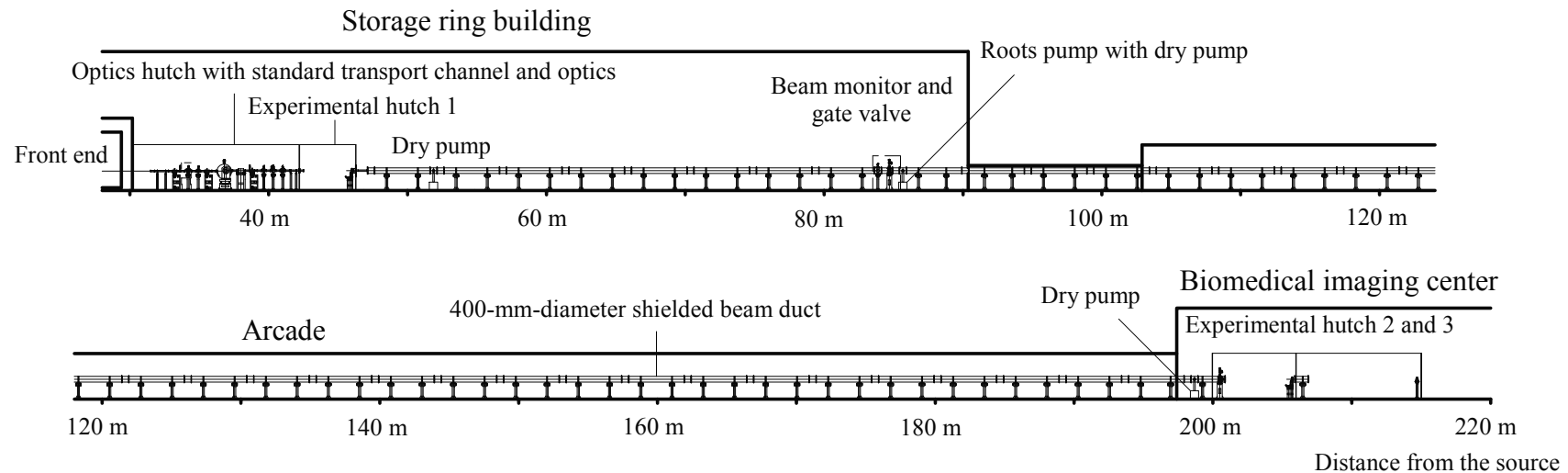
High resolution inelastic scattering

- Undulator
- DCM + back-reflection monochromator & analyzer (w/ \sim meV resolution)



200-m-long beamline

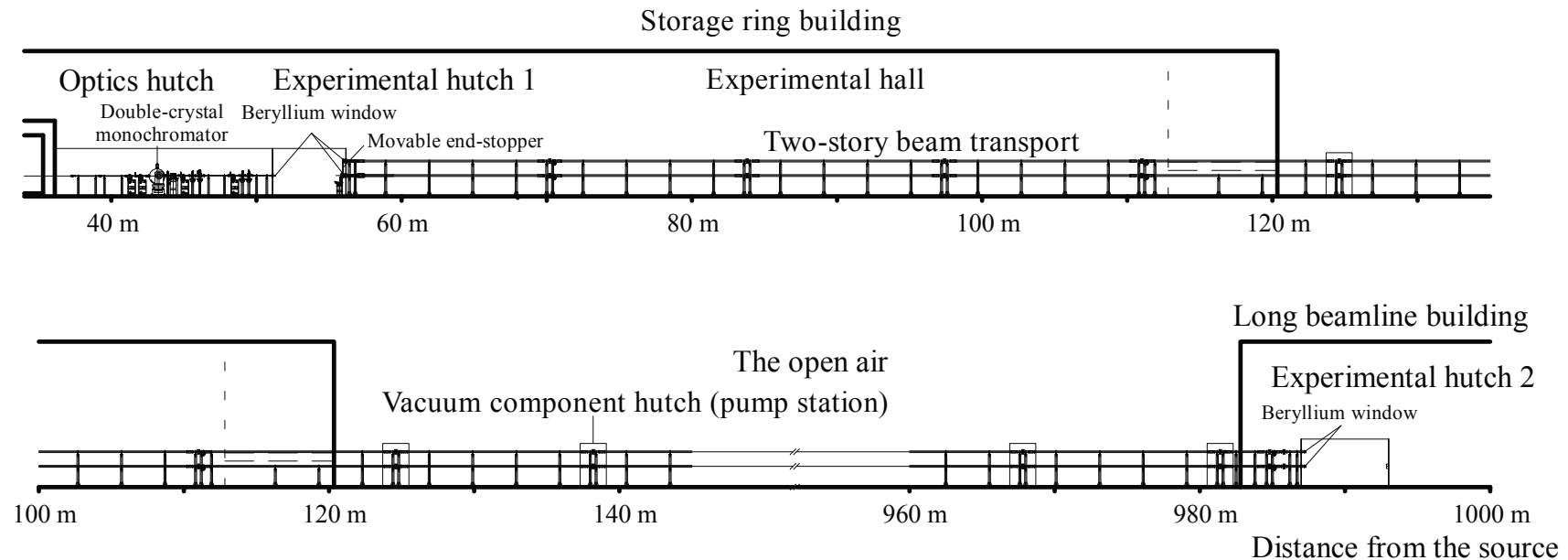
- Bending magnet
- DCM



300-mm-wide beam at end-station

1-km-long beamline

- Undulator
- DCM + tandem mirror



Wide and spatially-coherent X-rays at 1-km end station

Summary

- Just only starting point of X-ray beamline design is shown here,
w/ light source, monochromator, mirror,...
- It helps to figure out what we can obtain from the beamline.
- We will have to go into details of design refinement using;
FEA (ANSYS), ray-tracing (SHADOW,...), shielding calculation,...
- Standardization of good components helps beamline design
and saves the cost, man-power, and other resources.
- *Ray-tracing → wave simulation for “diffraction limited source and optics”*

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Thank you for your attention.