



National Synchrotron Radiation Research Center

Soft X-ray Absorption and Resonant Scattering

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Oct. 3



Soft X-ray Absorption and Resonant Scattering

1. Soft X-ray Absorption

Basic

Experimental Setup

Applications

- Chemical analysis
- Orbital polarization
- Magnetic Circular Dichroism

2. Resonant Soft X-ray Scattering

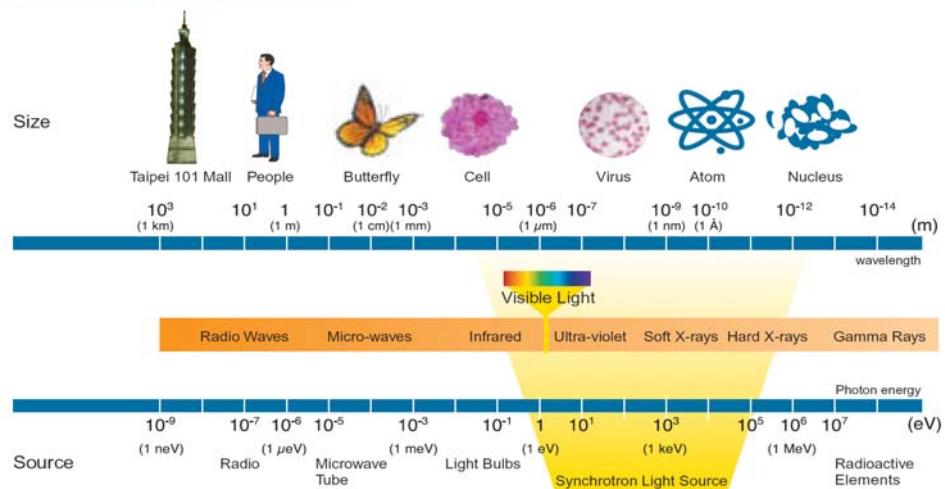
Introduction

Basic of resonant X-ray scattering

Examples:

Magnetic Transition of a Quantum Multiferroic LiCu_2O_2

Electromagnetic Spectrum



Soft x-ray: 250 eV ~ a few keV

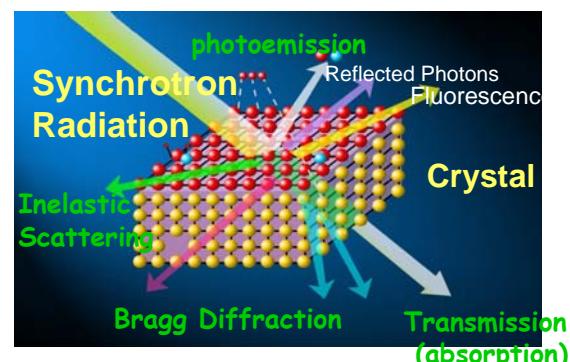
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Interaction of photons with matter:

Photoelectric effect

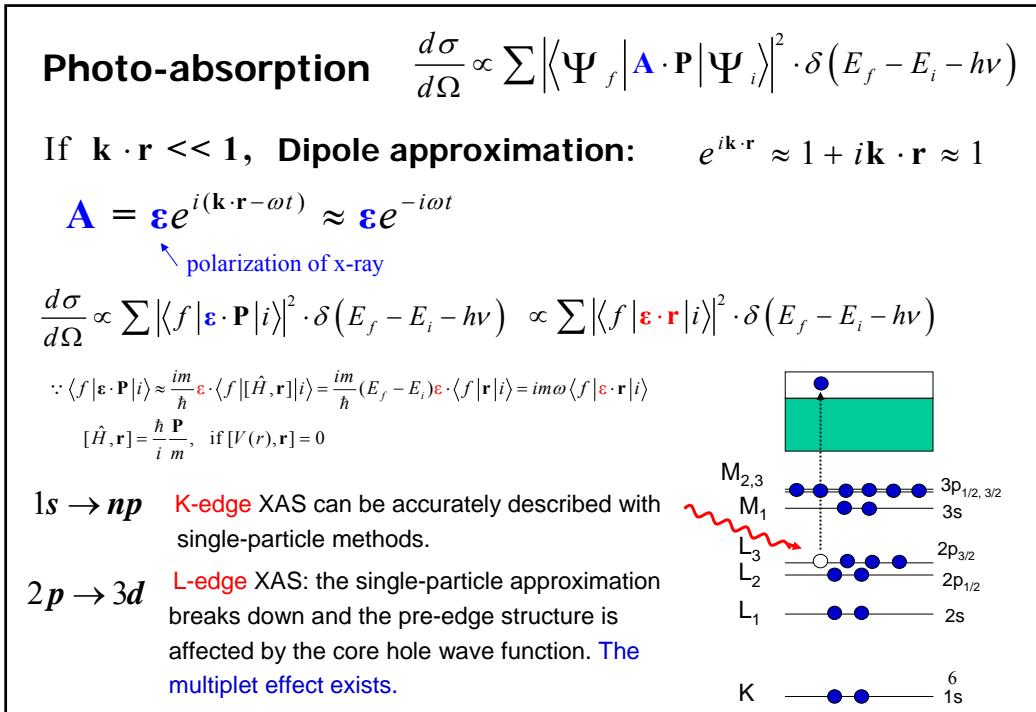
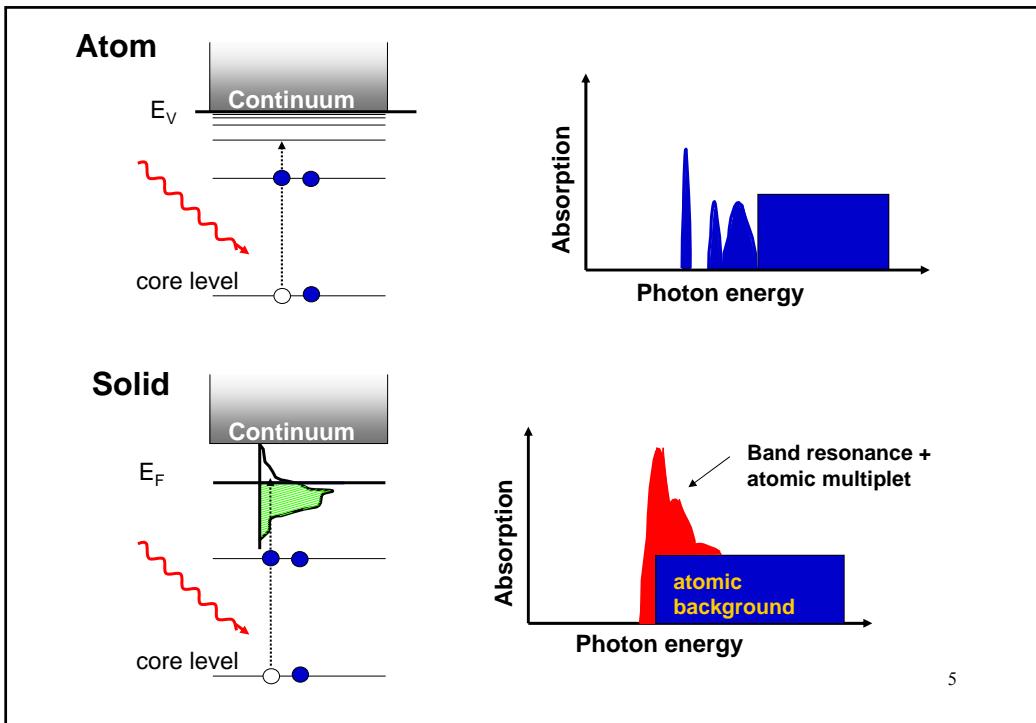
Photoabsorption

Scattering/diffraction



- lattice structure: arrangement of atoms
- electronic states
- magnetic order
- excitations (electronic states or phonons)

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Dipole transition

Absorption probability: $W = \frac{2\pi}{\hbar} |M_{ij}|^2 \delta(\hbar\omega - E_f + E_i) \quad M_{ij} \propto \langle f | \boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} | i \rangle$

$$\boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} = e_x \sin \theta \cos \phi + e_y \sin \theta \sin \phi + e_z \cos \theta$$

$$\hat{\mathbf{r}} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$$

$$\cos \theta = \sqrt{\frac{4\pi}{3}} Y_{1,0}(\theta, \phi) \quad \sin \theta \cdot e^{\pm i\phi} = \mp \sqrt{\frac{8\pi}{3}} Y_{1,\pm 1}(\theta, \phi)$$

$$\boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} = \sqrt{\frac{4\pi}{3}} \left(\frac{-\varepsilon_x + i\varepsilon_y}{\sqrt{2}} Y_{1,1} + \frac{\varepsilon_x + i\varepsilon_y}{\sqrt{2}} Y_{1,-1} + \varepsilon_z Y_{1,0} \right)$$

Selection rule: $(\Delta m_l \equiv m_f - m_i) \quad \Delta m_l = 0$
 $\Delta l \equiv l_f - l_i = \pm 1$

L. circularly polarized

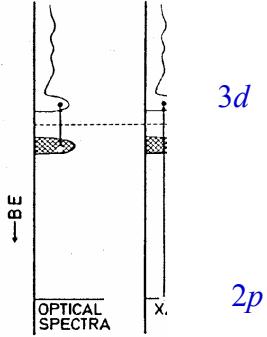
$$Y_{1,1}: \Delta m_l = +1$$

R. circularly polarized

$$Y_{1,-1}: \Delta m_l = -1$$

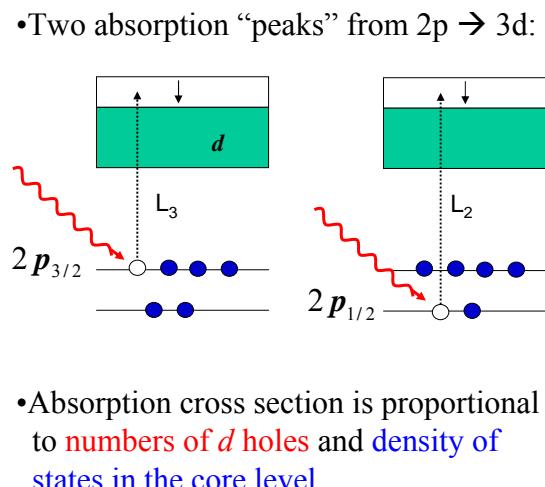
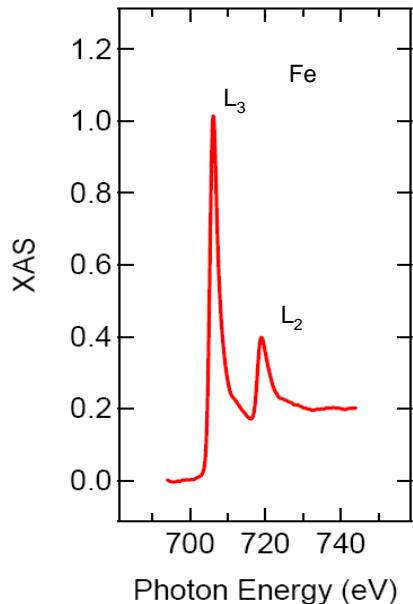
For $2p \rightarrow 3d$:

$$M_{ij} \propto \langle 2p^5 3d^{n+1} | \boldsymbol{\epsilon} \cdot \hat{\mathbf{r}} | 2p^6 3d^n \rangle$$



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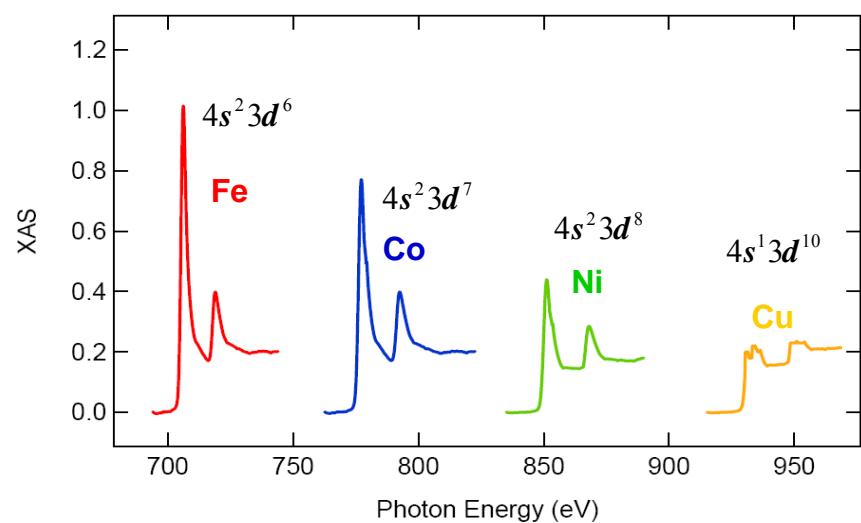
- Two absorption “peaks” from $2p \rightarrow 3d$:



- Absorption cross section is proportional to **numbers of d holes** and **density of states in the core level**.

L-edge XAS provides information on the chemical state, orbital symmetry, and spin state of materials.

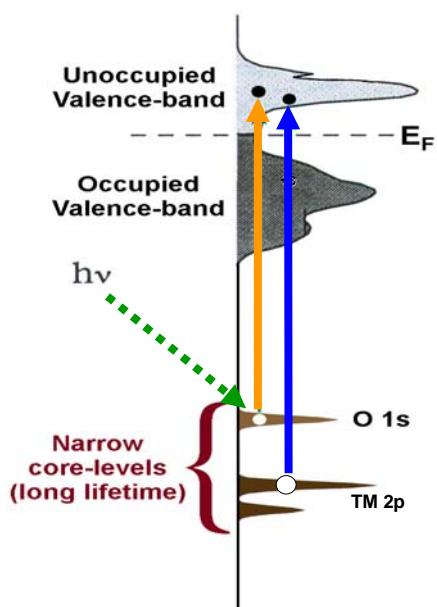
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**Each element has specific absorption energies.
“finger print” → element specific spectroscopy**

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In transition-metal oxides



soft x-ray absorption & scattering

TM: $2p \rightarrow 3d$
O: $1s \rightarrow 2p$

direct, element-specific probing of electronic structure of TMO

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Soft X-ray Absorption and Resonant Scattering

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2. Resonant Soft X-ray Scattering

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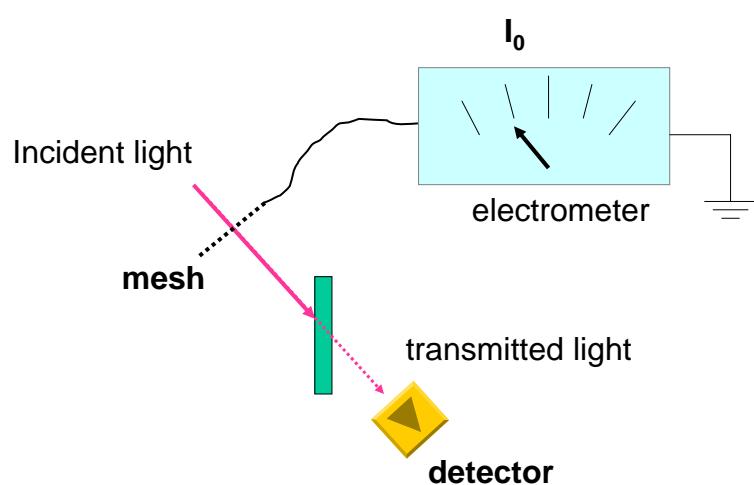
Basic of resonant X-ray scattering

Examples:

Magnetic Transition of a Quantum Multiferroic LiCu_2O_2

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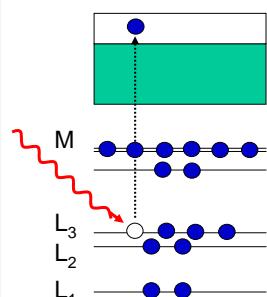
Measurement of Soft X-ray absorption



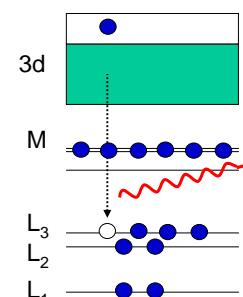
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Photoexcitation and Relaxation

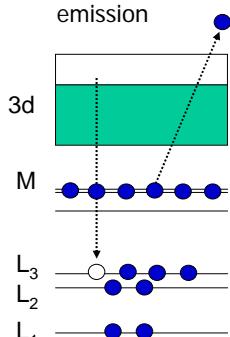
Photoelectric absorption



Fluorescent x-ray emission



Auger electron emission



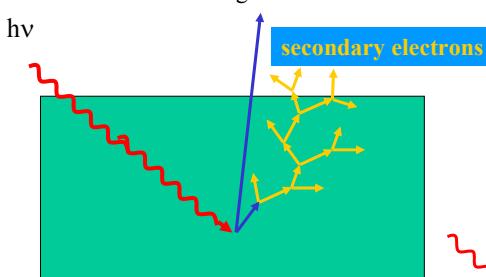
K 1s

K

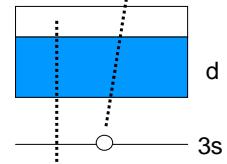
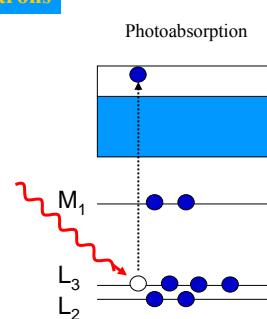
K

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Auger electrons



Auger electrons

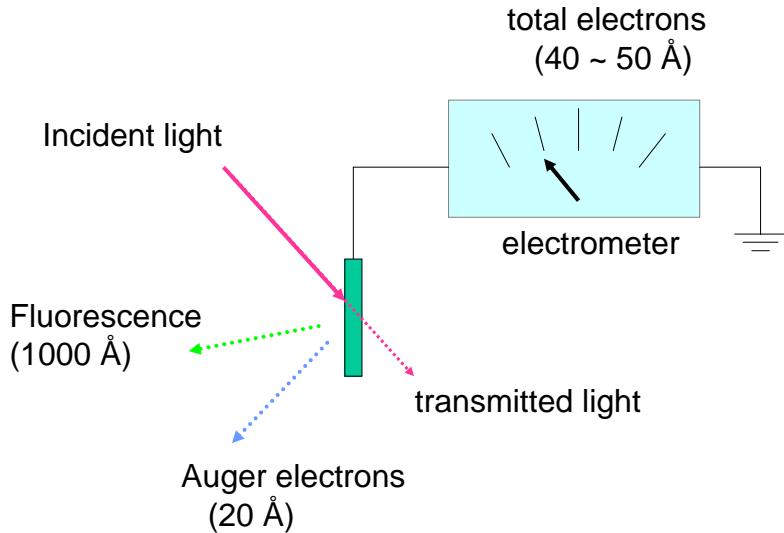


Intensity (log)

Kinetic energy

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Measurement of Soft X-ray absorption



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- **Magnetic Circular Dichroism**

2. Resonant Soft X-ray Scattering

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Basic of resonant X-ray scattering

Examples:

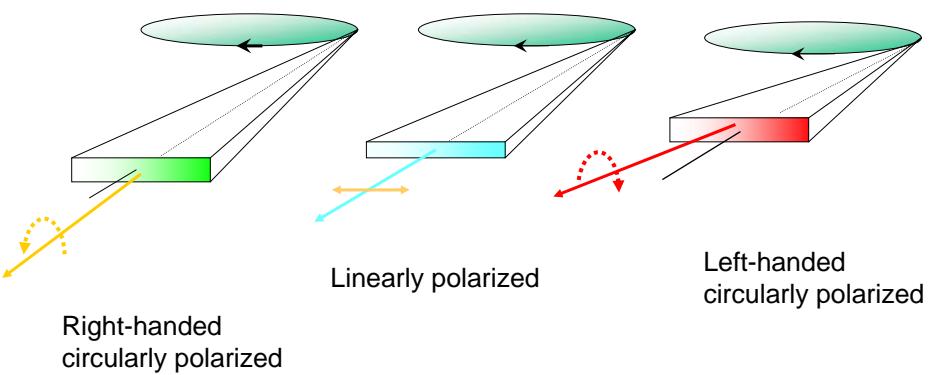
Magnetic Transition of a Quantum Multiferroic LiCu_2O_2

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L-edge XAS provides information on the chemical state, orbital symmetry, and spin state of materials.

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Polarization of Synchrotron Radiation

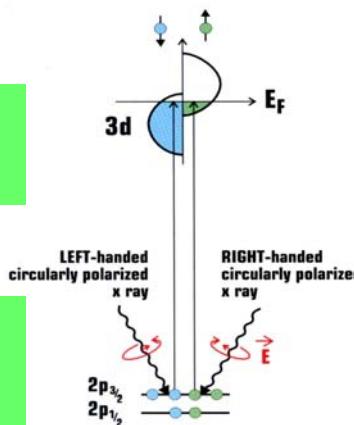


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Soft X-Ray Magnetic Circular Dichroism in Absorption

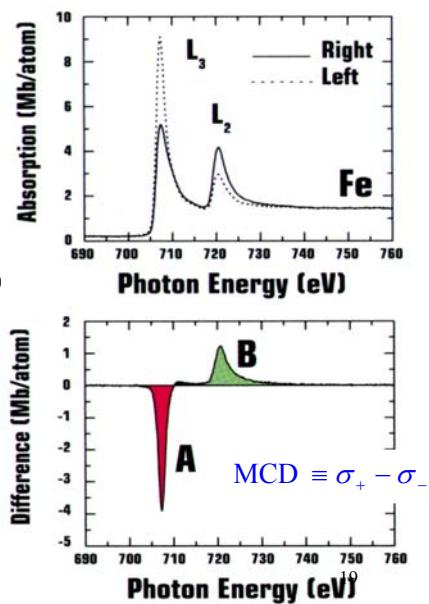
For $2p_{3/2} \rightarrow 3d$

Right-handed circularly polarized light preferentially excites spin-down electrons.



Left-handed circularly polarized light preferentially excites spin-up electrons.

MCD is defined as the difference in absorption intensity of magnetic systems excited by left and right circularly polarized light.



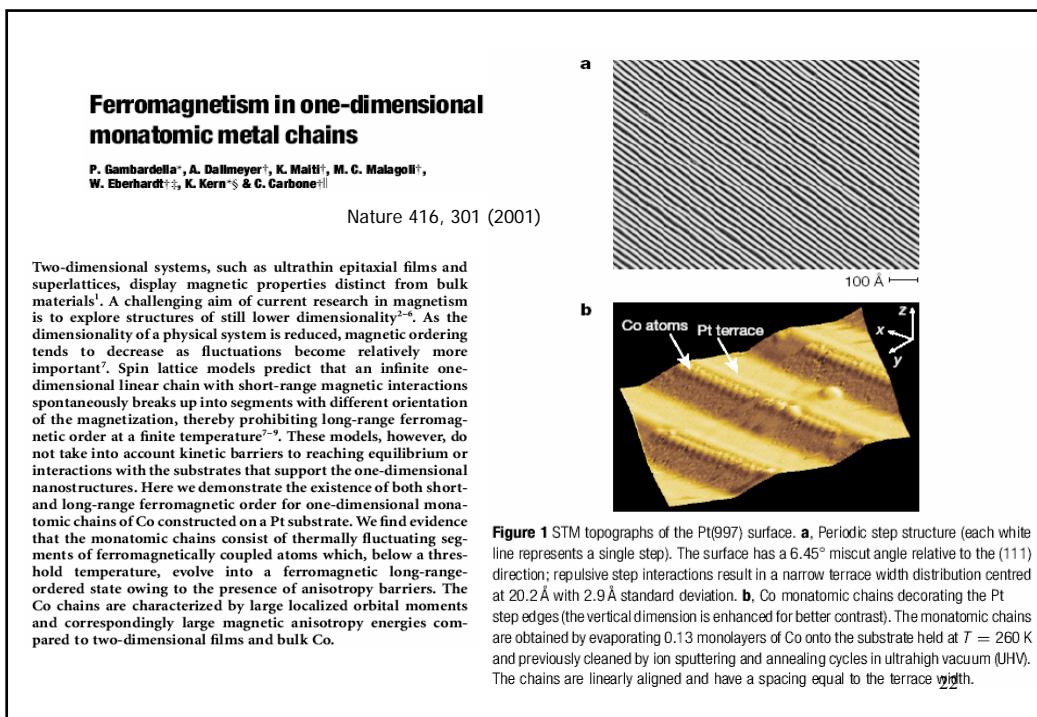
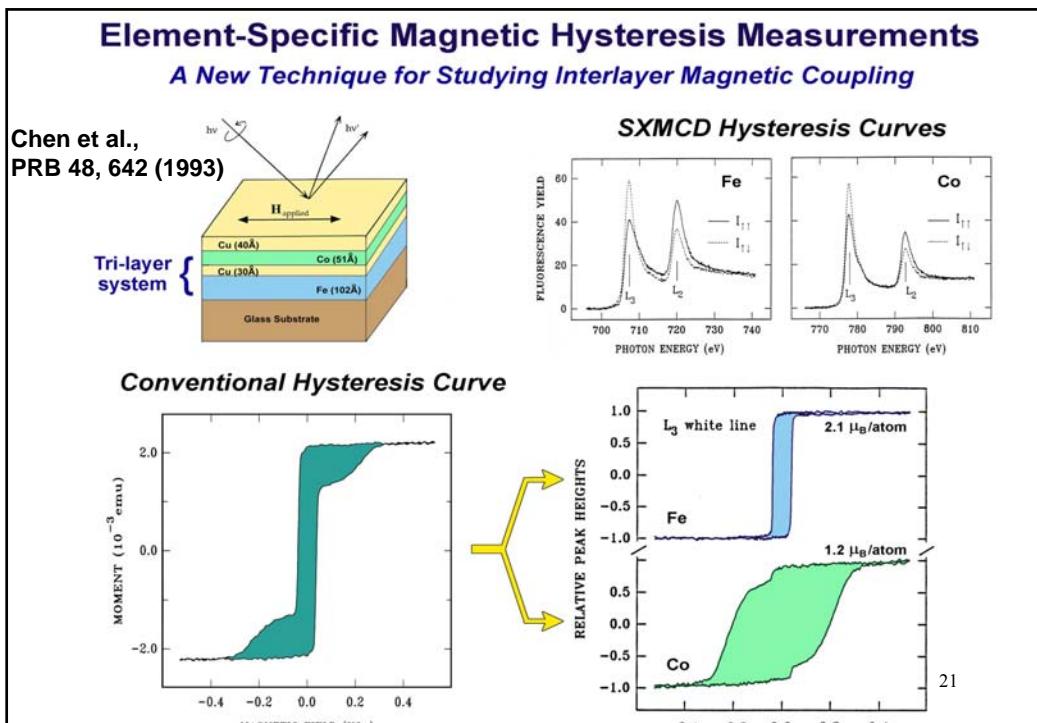
Soft X-Ray Magnetic Circular Dichroism in Absorption

Soft X-ray MCD in absorption provides
a unique means to probe:

element-specific magnetic hysteresis
orbital and spin moments
magnetic coupling.

There are two ways to obtain a MCD spectrum:

- 1) Fixing M, measure XAS with left and right circular lights.
- 2) Fixing the helicity of light, measure XAS with two opposite directions of M.



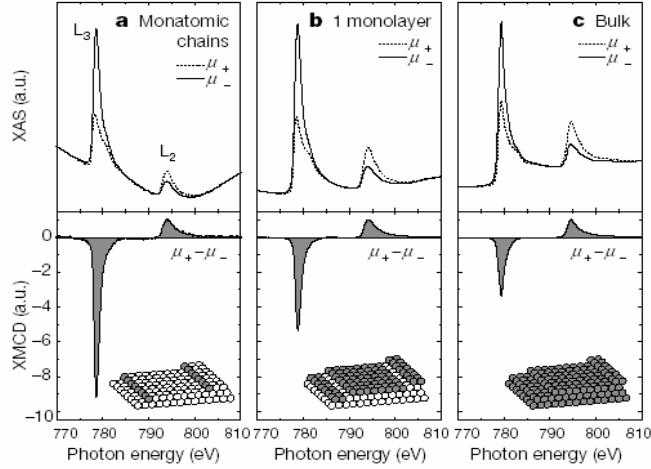


Figure 2 Co X-ray absorption spectra for parallel (μ_+) and antiparallel (μ_-) direction of light polarization and field-induced magnetization. The dichroism signal ($\mu_+ - \mu_-$) is obtained by subtraction of the absorption spectra in each panel and normalization to the L_2 peak. **a**, Monatomic chains; **b**, one monolayer; **c**, thick Co film on Pt(997). The sample was mounted onto a UHV variable-temperature insert that could be rotated with the respect to the direction of the external magnetic field applied parallel to the incident photon beam. Spectra were recorded in the electron-yield mode at $T = 10\text{ K}$ and

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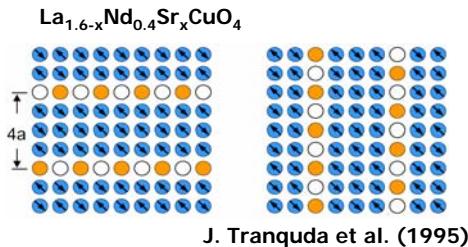
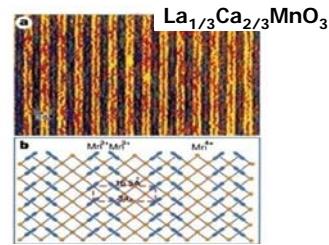
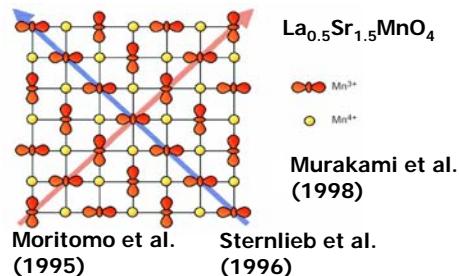
Basic of resonant X-ray scattering

Examples:

Magnetic Transition of a Quantum Multiferroic LiCu_2O_2

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Spin, Charge, and orbital ordering in correlated electron systems



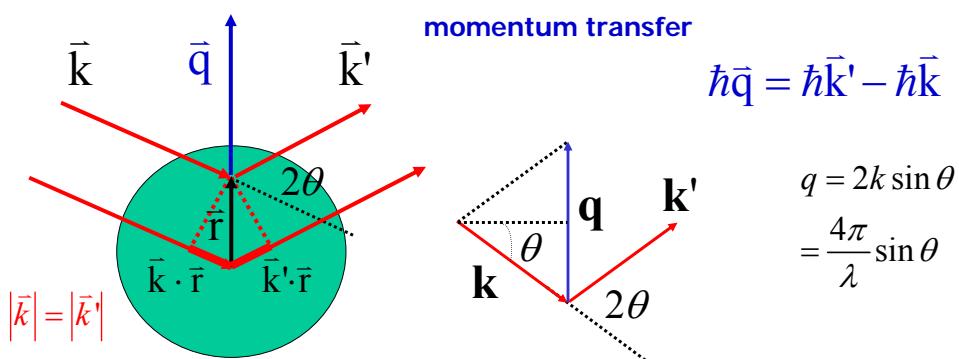
modulation vector $\mathbf{k} = 2\pi/\lambda$

Small valence
disproportionation !

$$\Delta Q/Q_{\text{total}} \ll 1$$

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Elastic x-ray scattering



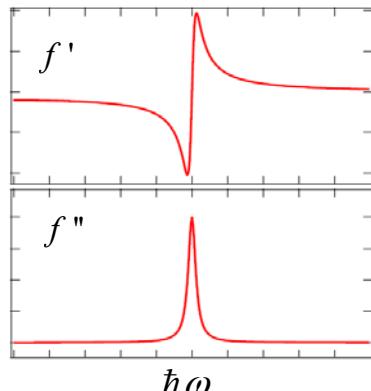
A volume element $d^3 \vec{r}$ at \vec{r} will contribute an amount to the scattering field with a phase factor $e^{i \vec{q} \cdot \vec{r}}$.

$$f_q \equiv \sum_j \rho(\vec{r}_j) e^{i \vec{q} \cdot \vec{r}_j}$$

$$\frac{d\sigma}{d\Omega} \propto |f_q|^2$$

Fourier transform of
charge distribution.

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Resonant scattering

$$f \equiv f' + i f''$$

$$f' = \frac{\omega_s^2(\omega^2 - \omega_s^2)}{(\omega^2 - \omega_s^2)^2 + (\omega\Gamma)^2}$$

$$f'' = -\frac{\omega_s^2 \omega \Gamma}{(\omega^2 - \omega_s^2)^2 + (\omega\Gamma)^2}$$

Absorption

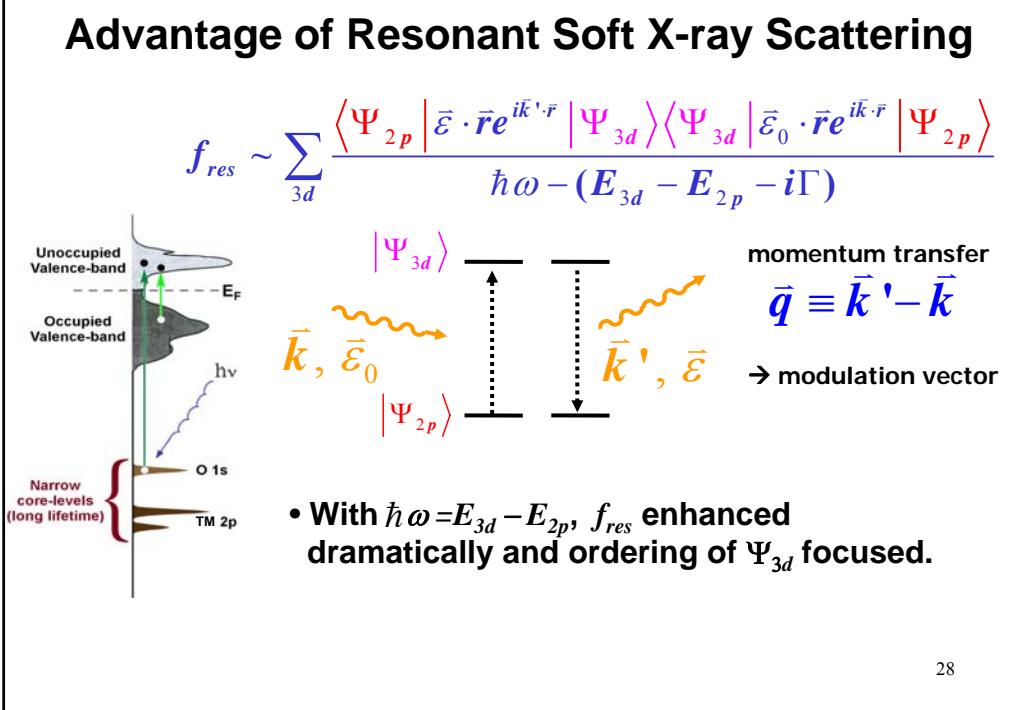
$$\sigma = -\frac{4\pi}{k} \text{Im}(f)$$

$$f_s(\mathbf{q}, \hbar\omega) = f^0(\mathbf{q}) + f'(\hbar\omega) + i f''(\hbar\omega)$$

dispersion corrections

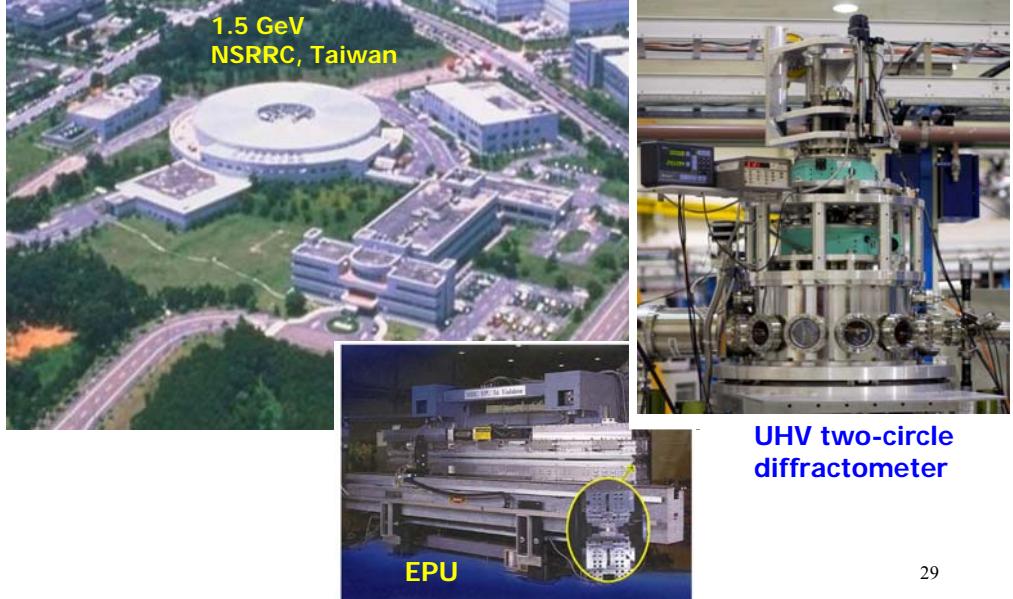
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As the photon energy $\hbar\omega$ approaches the binding energy of one of the core-level electrons,



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Setup of Soft X-ray Scattering



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X-ray magnetic scattering

$$\begin{aligned}
 \mathbf{H}_{\text{int}} &= \underbrace{\frac{e^2}{2mc^2} \sum_j \mathbf{A}(\mathbf{r}_j)^2 + \frac{e}{mc} \sum_j \mathbf{A} \cdot \mathbf{P}_j}_{\text{Kinetic energy}} - \underbrace{\frac{e\hbar}{2mc} \sum_j \mathbf{s}_j \cdot \nabla \times \mathbf{A}}_{\mathbf{m} \cdot \mathbf{B}} - \underbrace{\frac{e^2\hbar}{2(mc^2)^2} \sum_j \mathbf{s}_j \cdot \left(\frac{\partial \mathbf{A}}{\partial t} \times \mathbf{A} \right)}_{\text{SO}}
 \end{aligned}$$

Non-resonant

$$\sigma \propto \frac{2\pi}{\hbar} |\langle \mathbf{f} | \mathbf{H}_{\text{int}} | \mathbf{i} \rangle|^2$$

Blume, J. Appl. Phys. (1985)

$$= \frac{2\pi}{\hbar} \left(\frac{2\pi\hbar c^2}{V\omega} \frac{e^2}{mc^2} \right)^2 \left| \langle \mathbf{f} | \sum_j e^{i\mathbf{q} \cdot \mathbf{r}_j} | \mathbf{i} \rangle \cdot \boldsymbol{\varepsilon} - i \frac{\hbar\omega}{mc^2} \langle \mathbf{f} | \sum_j e^{i\mathbf{q} \cdot \mathbf{r}_j} \mathbf{s}_j | \mathbf{i} \rangle \cdot \boldsymbol{\varepsilon}' \times \boldsymbol{\varepsilon} \right|^2$$

Resonant

$$\frac{f_{\text{mag}}}{f_{\text{charge}}} \sim \frac{\hbar\omega}{mc^2} \sim 10^{-3} \quad \frac{\sigma_{\text{mag}}}{\sigma_e} \sim 10^{-6}$$

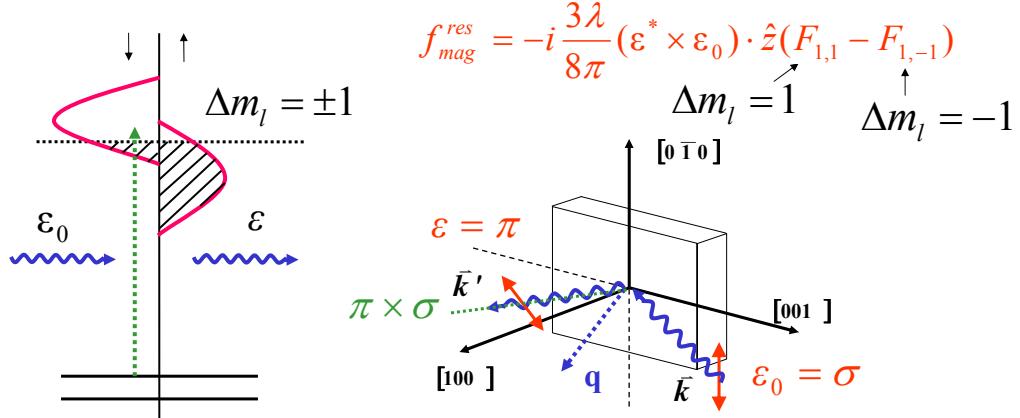
for $\hbar\omega \sim 600$ eV

$$\sigma \propto \frac{2\pi}{\hbar} \left| \sum_n \frac{\langle \mathbf{f} | \mathbf{H}_{\text{int}} | \mathbf{n} \rangle \langle \mathbf{n} | \mathbf{H}_{\text{int}} | \mathbf{i} \rangle}{E_i + \hbar\omega - E_n} \right|^2 \delta(E_i - E_f)$$

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Resonant X-ray magnetic scattering electric dipole transitions

Hannon et al., PRL(1988)



As a result of spin-orbit and exchange interactions,
magnetic ordering manifests itself in resonant scattering.³¹

Resonant soft x-ray magnetic scattering:

- Cross section comparable to that of neutron scattering.
- Good k resolution ($\Delta k < 0.0005 \text{ \AA}^{-1}$)
- Spectroscopic information.
- Limited to a small k space, less bulk sensitive.

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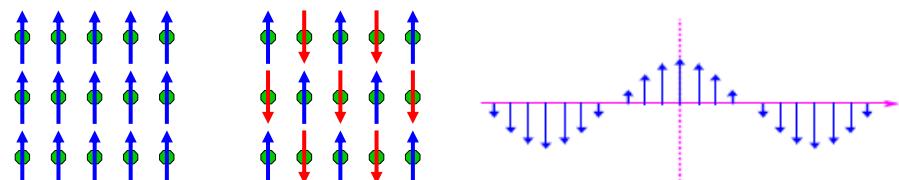
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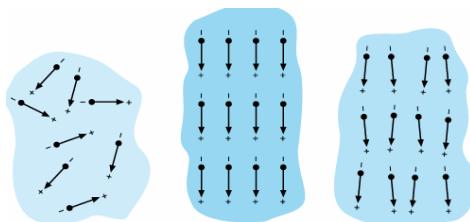
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Magnetism: ordering of spins



Magnetization can be induced by H field

Ferroelectricity: polar arrangement of charges



Electric polarization can be induced by E field

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Magnetoelectric effect

Induction of **magnetization** by an **electric field**; induction of **polarization** by a **magnetic field**.

- *first presumed to exist by Pierre Curie in 1894 on the basis of symmetry considerations*

Multiferroics: materials exhibiting ME coupling

Cr_2O_3

BiMnO_3

BiFeO_3

.....

However, the effects are typically too small to be useful in applications!

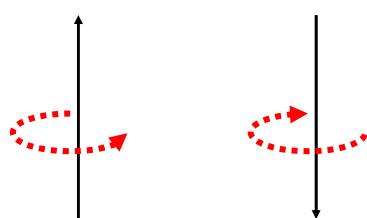
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Two contrasting order parameters

Magnetization: time-reversal symmetry broken

$$t \Rightarrow -t: \quad \vec{M} \Rightarrow -\vec{M}$$

$$\vec{P} \Rightarrow \vec{P}$$



Polarization: inversion symmetry broken

$$\vec{r} \Rightarrow -\vec{r}: \quad \vec{P} \Rightarrow -\vec{P}, \quad \vec{M} \Rightarrow \vec{M}$$

$$\vec{P} = q\vec{r}$$

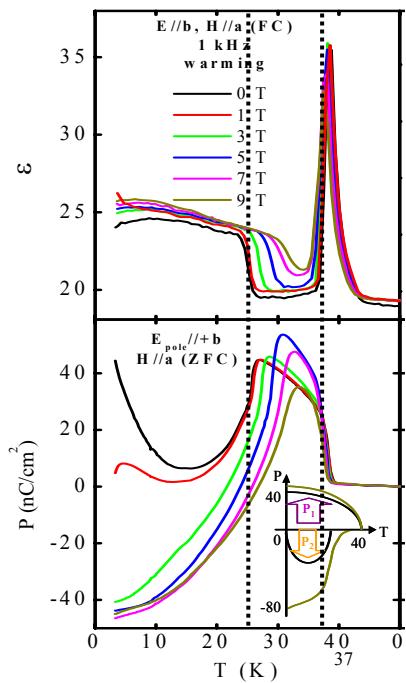
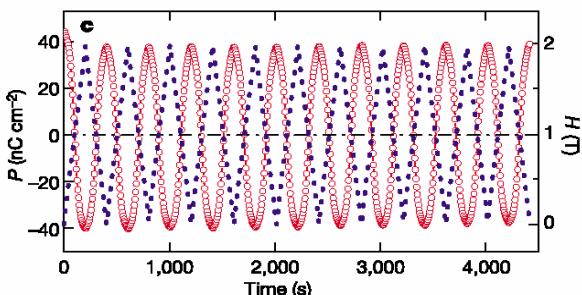
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Electric polarization reversal and memory in a multiferroic material induced by magnetic fields

N. Hur, S. Park, P. A. Sharma, J. S. Ahn*, S. Guha & S-W. Cheong

TbMn₂O₅ **Nature, 429, 392 (2004)**

- 3 transitions on cooling.
- Magnetic field induces a sign reversal of the electric polarization.



Recently discovery in the **coexistence** and **gigantic coupling** of **antiferromagnetism** and **ferroelectricity** in frustrated spin systems such **RMnO₃** and **RMn₂O₅** (R=Tb, Ho , ...)

TbMnO₃: Kimura et al., Nature 426, 55, (2003)

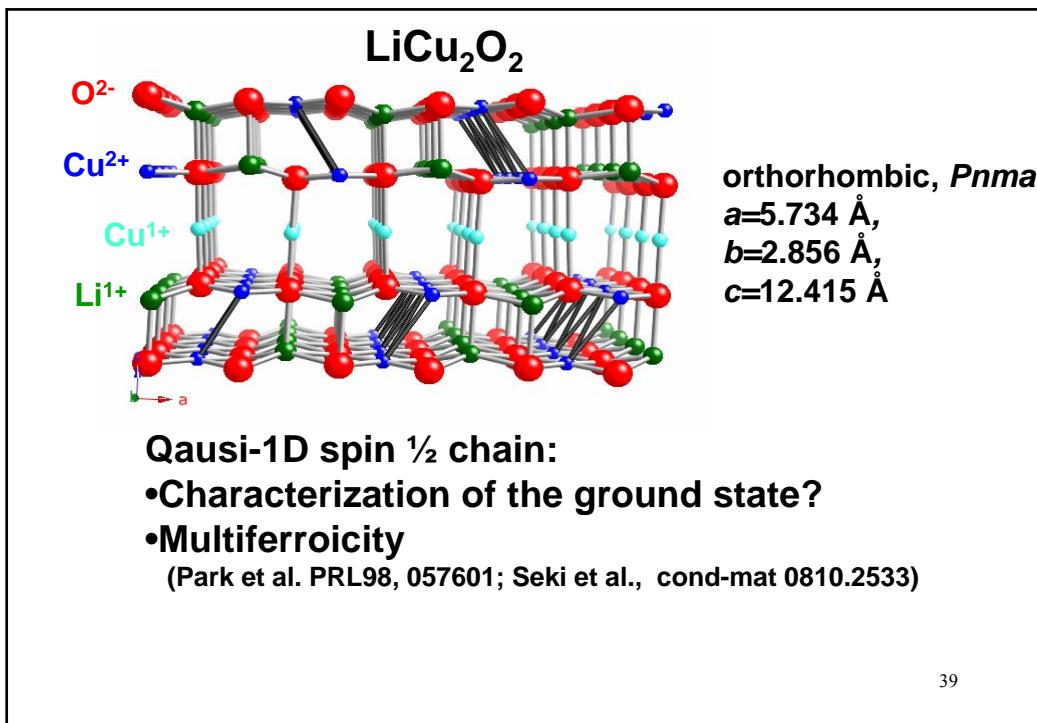
TbMn₂O₅: Hur et al., Nature 429, 392 (2004)



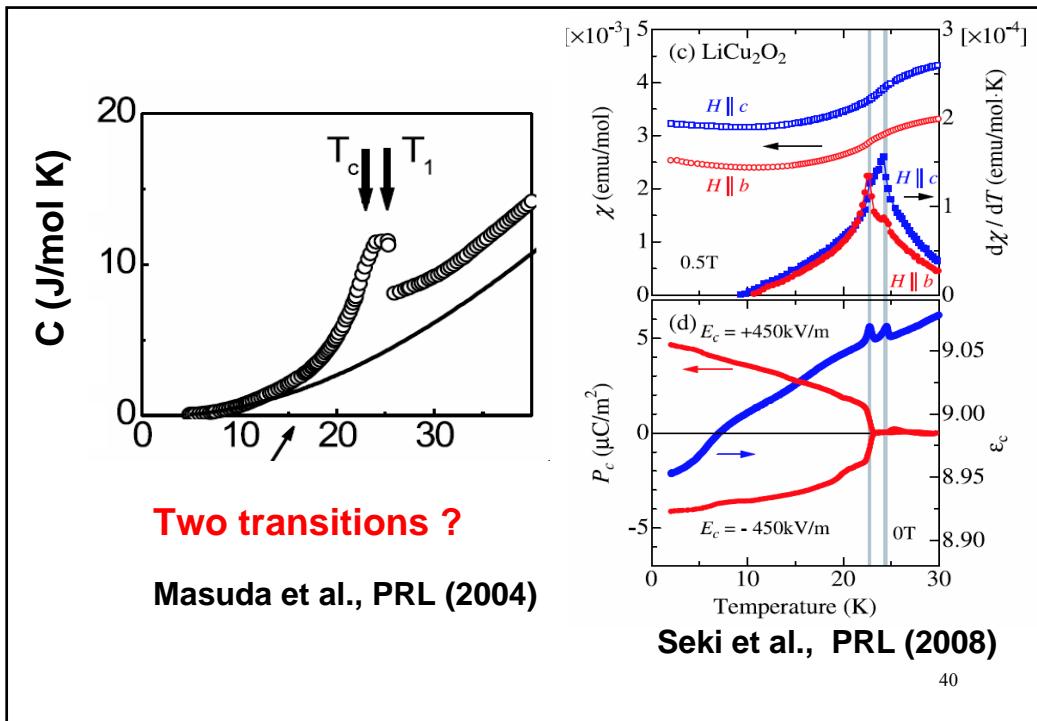
revived interest in “multiferroicity”

Magnetism and ferroelectricity coexist in materials called “multiferroics.”

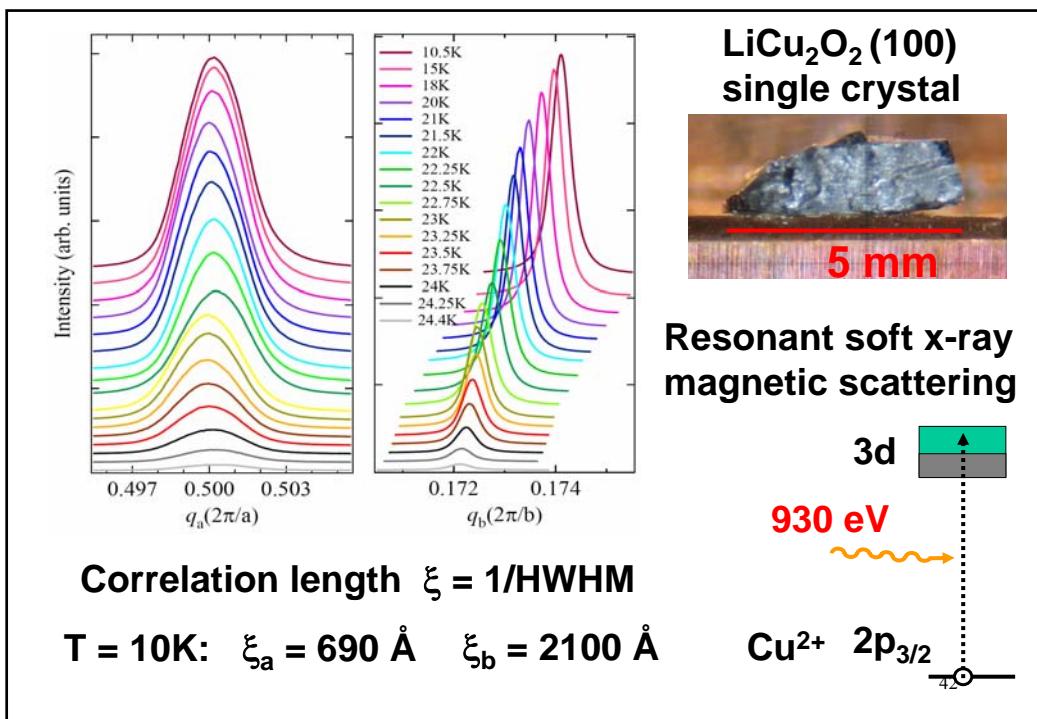
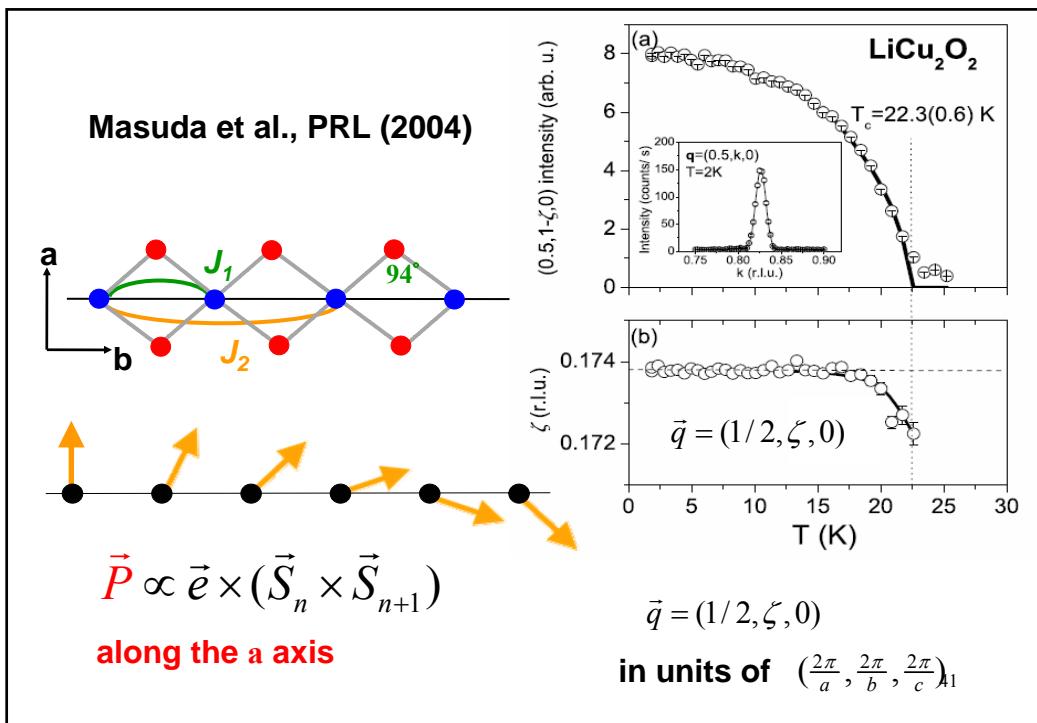
- $T_C < T_N$
- Frustrated magnetic systems.

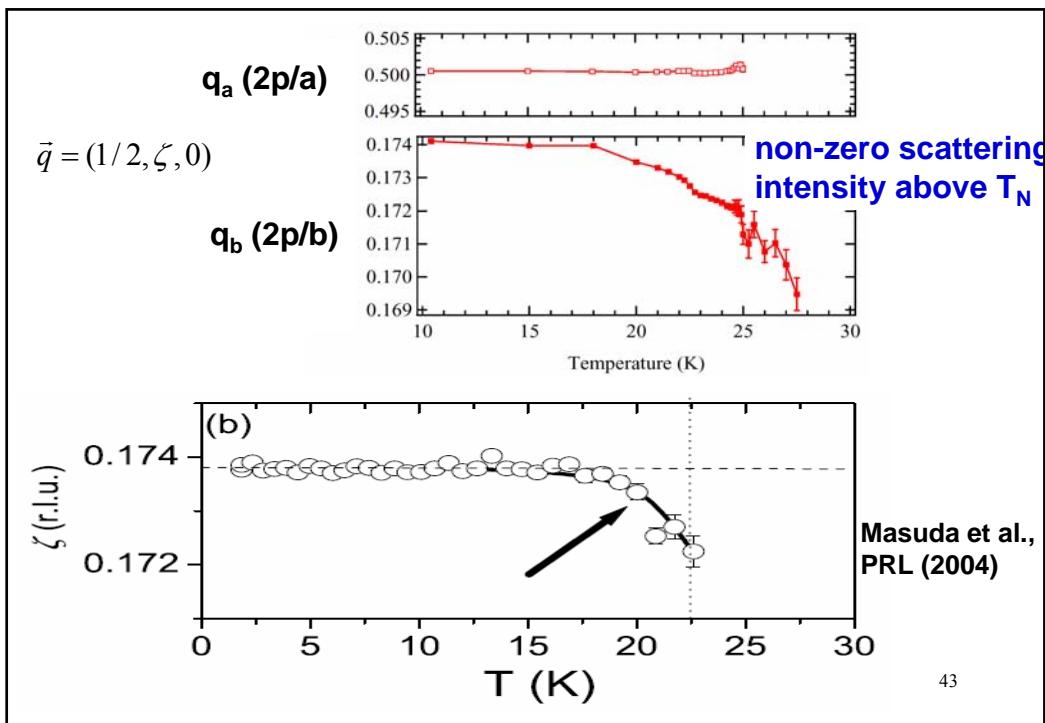


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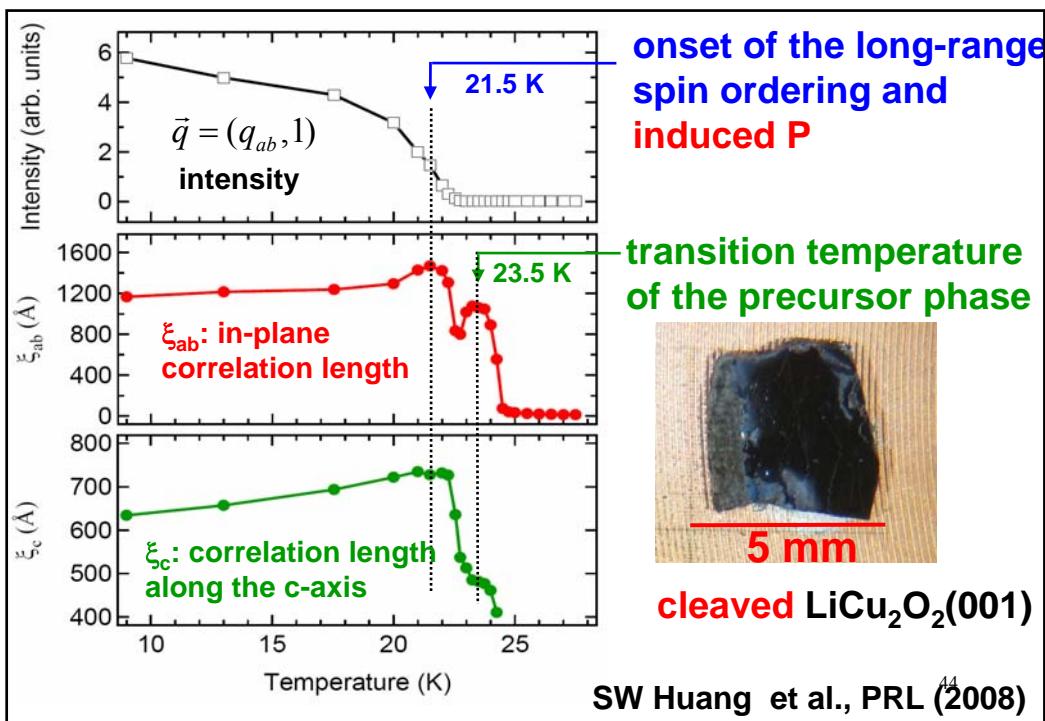


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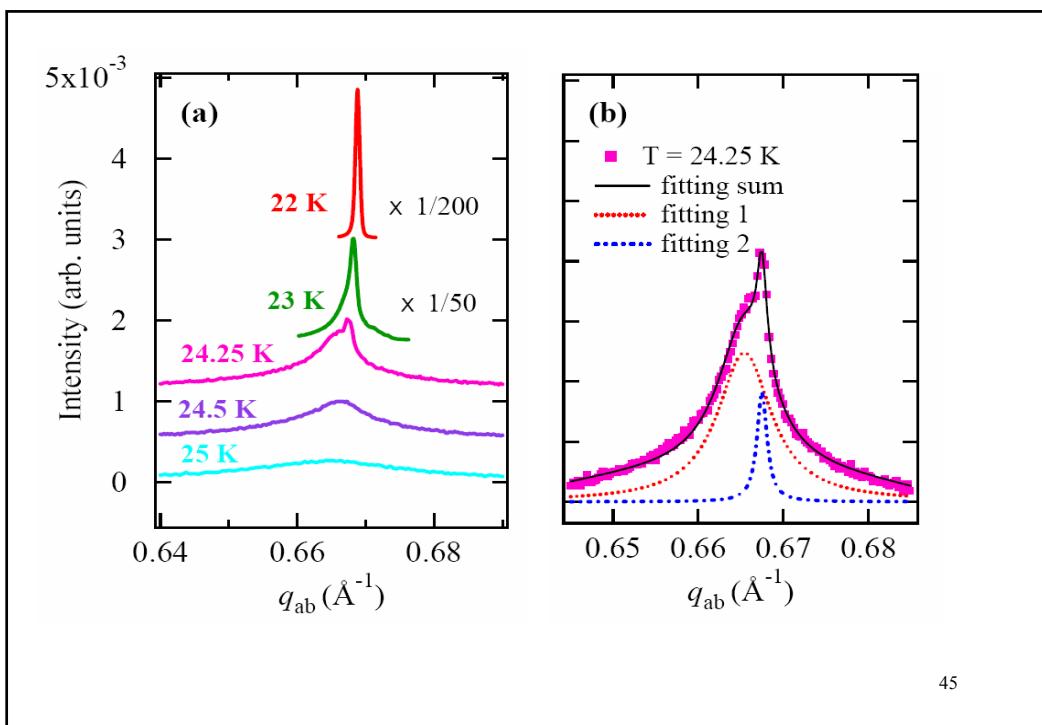




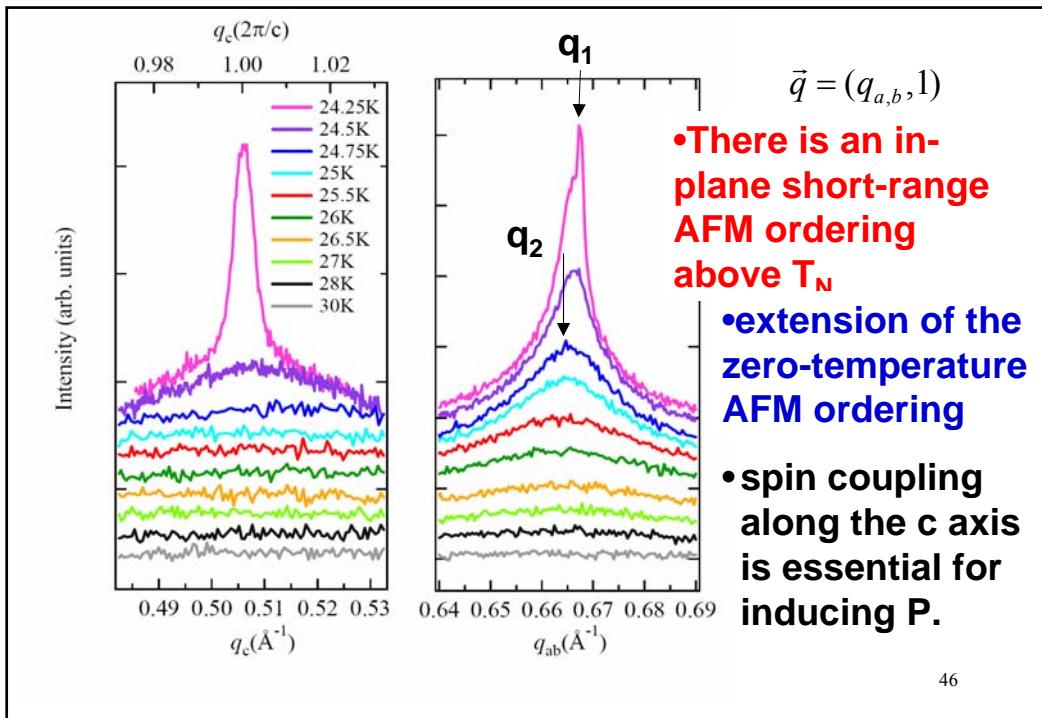
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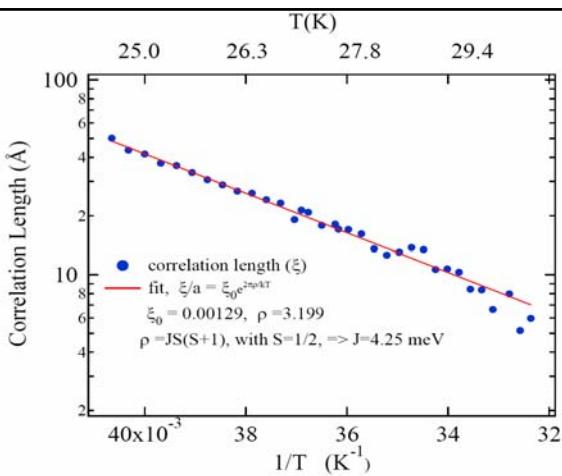
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Above T_N , in-plane correlation

$\xi(T) \propto e^{2\pi\rho_S/k_B T}$
renormalized
classical behavior

average
 $J \sim 4.25$ meV

- The ground state of LiCu_2O_2 exhibits a long range AFM ordering.
- The spin coupling along the c axis is essential for inducing P.

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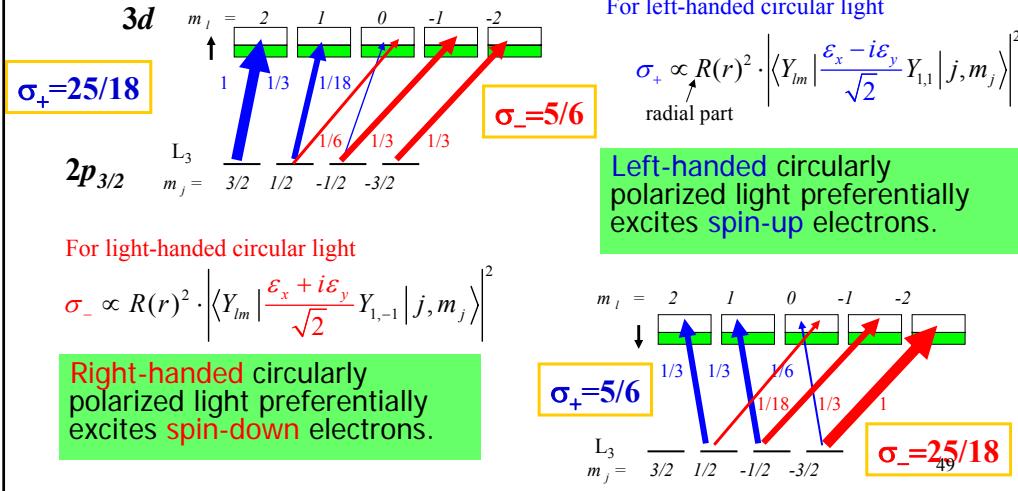
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Appendix: Basic of Magnetic Circular Dichroism in X-ray Absorption

Considering L-edge $2p_{3/2} \rightarrow 3d$ absorption, and ignoring the spin-orbit interaction in the 3d bands,

$$\sigma \propto |\langle l, m_l | \mathbf{\epsilon} \cdot \hat{r} | j, m_j \rangle|^2$$



$$\sigma \propto |\langle l, m_l | \mathbf{\epsilon} \cdot \hat{r} | j, m_j \rangle|^2$$

$$\hat{r} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$$

$$\mathbf{\epsilon} \cdot \hat{r} = e_x \sin \theta \cos \phi + e_y \sin \theta \sin \phi + e_z \cos \theta$$

$$\cos \theta = \sqrt{\frac{4\pi}{3}} Y_{1,0}(\theta, \phi) \quad \sin \theta \cdot e^{\pm i\phi} = \mp \sqrt{\frac{8\pi}{3}} Y_{1,\pm 1}(\theta, \phi)$$

$$\mathbf{\epsilon} \cdot \hat{r} = \sqrt{\frac{4\pi}{3}} \left(\frac{-\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,1} + \frac{\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,-1} + \epsilon_z Y_{1,0} \right)$$

$$\sigma_{\pm} \propto R(r)^2 \cdot \left| \left\langle Y_{lm} \left| \frac{\epsilon_x \mp i\epsilon_y}{\sqrt{2}} Y_{l,\pm 1} \right| j, m_j \right\rangle \right|^2$$

$$\sigma_+ \propto \left| \left\langle Y_{2,2} \left| \frac{\epsilon_x - i\epsilon_y}{\sqrt{2}} Y_{1,1} \right| j = \frac{3}{2}, m_j = \frac{1}{2} \right\rangle \right|^2 = \frac{1}{3}$$

$$\therefore \langle Y_{2,2} | Y_{1,1} | j = \frac{3}{2}, m_j = \frac{1}{2} \rangle = \sqrt{\frac{1}{3}} \langle Y_{2,2} | Y_{1,1} | Y_{1,1} \rangle = \sqrt{\frac{1}{18}}$$

$$\sigma_- \propto \left| \left\langle Y_{2,0} \left| \frac{\epsilon_x + i\epsilon_y}{\sqrt{2}} Y_{1,-1} \right| j = \frac{3}{2}, m_j = \frac{1}{2} \right\rangle \right|^2 = \frac{1}{18}$$

$$\therefore \langle Y_{2,0} | Y_{1,-1} | j = \frac{3}{2}, m_j = \frac{1}{2} \rangle = \sqrt{\frac{1}{3}} \langle Y_{2,0} | Y_{1,-1} | Y_{1,1} \rangle = \sqrt{\frac{1}{18}}$$

$$m_l = \begin{array}{c} 2 \\ 1 \\ 0 \\ -1 \\ -2 \end{array}$$

$$L_3$$

$$m_j = \begin{array}{c} 3/2 \\ 1/2 \\ -1/2 \\ -3/2 \end{array}$$

$$j=3/2$$

$$|\frac{3}{2}, \frac{3}{2}\rangle = |Y_{1,1} \uparrow\rangle \quad |\frac{3}{2}, -\frac{3}{2}\rangle = |Y_{1,-1} \downarrow\rangle$$

$$|\frac{3}{2}, \frac{1}{2}\rangle = \sqrt{\frac{1}{3}} |Y_{1,0} \uparrow\rangle + \sqrt{\frac{2}{3}} |Y_{1,1} \downarrow\rangle$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \sqrt{\frac{1}{3}} |Y_{1,-1} \uparrow\rangle + \sqrt{\frac{2}{3}} |Y_{1,0} \downarrow\rangle$$

Clesbsch-Gordan coefficients

$$Y_{lm} = \sum_{m_1 m_2} (l_1 m_1 l_2 m_2 | l, m) Y_{l_1 m_1} Y_{l_2 m_2}$$

$$Y_{l_1 m_1} Y_{l_2 m_2} = \sum_{l=|l_1-l_2|}^{l_1+l_2} (l_1 m_1 l_2 m_2 | lm) Y_{lm}$$

$$Y_{1,1} Y_{1,1} = Y_{2,2} \quad Y_{1,-1} Y_{1,1} = \sqrt{\frac{1}{6}} Y_{2,0} + \dots$$

